

EXHIBIT A (PART 3)

NEUBERGER BERMAN

CHARLES DICCIANNI
COMPENSATION REPORT
April-07

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION	15,216.95
ADD: 1Q07 Tier Adjustment	1,485.93
TOTAL	16,702.88

EARNINGS CODE/RSU BREAKDOWN:

CASH - C N B	13,641.62
RSU - C N 5	1,575.33
CASH - C N A	1,332.10
RSU - C D 5	153.83

2007 RSU Statements

Employee Name	Prod Month	
Diccianni, Charles		
	12/1/2006	2,661.50
	1/31/2007	851.39
	2/28/2007	1,753.44
	3/31/2007	1,379.00
	4/1/2007	1,729.16
Diccianni, Charles Total		8,374.49

Compensation Report**NEUBERGER BERMAN****PA12****Month End : Apr-2007**

Marketer Name	Reg Customer Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES										
223 SCHWARTZ NEW YORK										
777 00874	CITY OF STAMFORD FIREMEN	05/01/2003	99	10.00%	\$0.00	\$0.00	\$17,242.18	\$17,242.18	\$1,724.22	\$1,724.22
777 00898	CITY OF BRISTOL EMPLOYEE	07/01/2006	01	30.00%	\$0.00	\$0.00	(\$44,422.02)	(\$44,422.02)	(\$13,326.61)	(\$13,326.61)
777 00898	CITY OF BRISTOL EMPLOYEE	07/01/2006	01	30.00%	\$0.00	\$0.00	\$44,422.02	\$44,422.02	\$13,326.61	\$13,326.61
777 00898	CITY OF BRISTOL EMPLOYEE	07/01/2006	01	30.00%	\$0.00	\$0.00	\$15,142.76	\$15,142.76	\$4,542.83	\$4,542.83
Reg Rep Total:					\$0.00	\$0.00	\$32,384.94	\$32,384.94	\$6,267.05	\$6,267.05
251 FIXED INCOME/NY										
551 13224	SAMUEL S BERGAMI JR &	09/30/2001	99	10.00%	\$0.00	\$0.00	\$1,723.25	\$1,723.25	\$172.33	\$172.33
777 00417	MERIDEN CITY EMPLOYEES	02/28/2005	99	10.00%	\$0.00	\$0.00	(\$3,501.08)	(\$3,501.08)	(\$350.11)	(\$350.11)
777 00417	MERIDEN CITY EMPLOYEES	02/28/2005	99	10.00%	\$0.00	\$0.00	\$3,501.08	\$3,501.08	\$350.11	\$350.11
777 00417	MERIDEN CITY EMPLOYEES	02/28/2005	99	10.00%	\$0.00	\$0.00	\$1,184.23	\$1,184.23	\$118.42	\$118.42
777 00418	MERIDEN POLICE & FIRE	02/28/2005	99	10.00%	\$0.00	\$0.00	(\$3,792.65)	(\$3,792.65)	(\$379.27)	(\$379.27)
777 00418	MERIDEN POLICE & FIRE	02/28/2005	99	10.00%	\$0.00	\$0.00	\$3,792.65	\$3,792.65	\$379.27	\$379.27
777 00418	MERIDEN POLICE & FIRE	02/28/2005	99	10.00%	\$0.00	\$0.00	\$1,282.99	\$1,282.99	\$128.30	\$128.30
777 00418	MERIDEN POLICE & FIRE	02/28/2005	99	10.00%	\$0.00	\$0.00	\$3,784.01	\$3,784.01	\$378.40	\$378.40
777 00885	MILFORD HOSPITAL PENSION PLAN	01/25/2006	99	10.00%	\$0.00	\$0.00	\$454.28	\$454.28	\$90.86	\$90.86
777 00924	MILFORD BANK PENSION PLAN	08/01/2006	01	20.00%	\$0.00	\$0.00	\$6,766.84	\$6,766.84	\$507.51	\$507.51
777 11009	STAMFORD FIREFIGHTERS PENSIO	05/01/2003	99	7.50%	\$0.00	\$0.00	\$15,195.60	\$15,195.60	\$1,395.82	\$1,395.82
Reg Rep Total:					\$0.00	\$0.00	\$15,195.60	\$15,195.60	\$1,395.82	\$1,395.82
288 APPEL NEW YORK										
550 01688	MILFORD HOSPITAL MID CAP	01/01/2006	99	10.00%	\$0.00	\$0.00	\$2,355.19	\$2,355.19	\$235.52	\$235.52
550 02759	ARCHDIOCESAN PENSION PLAN	01/31/2007	01	20.00%	\$0.00	\$0.00	\$13,746.09	\$13,746.09	\$2,749.22	\$2,749.22
550 02759	ARCHDIOCESAN PENSION PLAN		XX		\$0.00	\$0.00	\$0.00	\$0.00	(\$4,211.77)	(\$4,211.77)
550 02759	ARCHDIOCESAN PENSION PLAN		XX		\$0.00	\$0.00	\$0.00	\$0.00	(\$1,374.61)	(\$1,374.61)
550 02760	THE TRUSTEES OF ST PATRICKS	01/31/2007	01	20.00%	\$0.00	\$0.00	\$6,338.45	\$6,338.45	\$1,267.69	\$1,267.69

PA12

Compensation Report

NEUBERGER BERMAN

Month End : Apr-2007

Marketer Name	Reg Customer Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
288 APPEL NEW YORK											
550 02760		THE TRUSTEES OF ST PATRICKS		XX		\$0.00	\$0.00	\$0.00	\$0.00	(\$1,943.92)	(\$1,943.92)
550 02760		THE TRUSTEES OF ST PATRICKS		XX		\$0.00	\$0.00	\$0.00	\$0.00	(\$633.85)	(\$633.85)
555 10968		EDWARD SAAD AND	06/23/2006	01	30.00%	\$0.00	\$0.00	(\$812.80)	(\$812.80)	(\$243.84)	(\$243.84)
555 10968		EDWARD SAAD AND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$812.80	\$812.80	\$243.84	\$243.84
555 10968		EDWARD SAAD AND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$270.68	\$270.68	\$81.20	\$81.20
777 00008		CITY OF MILFORD PENSION FUND	07/01/2004	99	10.00%	\$0.00	\$0.00	\$9,145.25	\$9,145.25	\$914.53	\$914.53
777 00224		CITY OF BRISTOL MUNICIPAL EMPL	07/27/2004	99	10.00%	\$0.00	\$0.00	(\$110,458.79)	(\$110,458.79)	(\$11,045.88)	(\$11,045.88)
777 00224		CITY OF BRISTOL MUNICIPAL EMPL	07/27/2004	99	10.00%	\$0.00	\$0.00	\$110,458.79	\$110,458.79	\$11,045.88	\$11,045.88
777 00224		CITY OF BRISTOL MUNICIPAL EMPL	07/27/2004	99	10.00%	\$0.00	\$0.00	\$40,700.64	\$40,700.64	\$4,070.06	\$4,070.06
777 00365		JBC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$728.19	\$728.19	\$72.82	\$72.82
777 00366		CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$369.29	\$369.29	\$36.93	\$36.93
777 00680		MILFORD HOSPITAL PENSION PLAN	01/31/2006	99	10.00%	\$0.00	\$0.00	\$10,076.33	\$10,076.33	\$1,007.63	\$1,007.63
777 00682		MILFORD HOSPITAL PENSION PLAN	02/03/2006	99	10.00%	\$0.00	\$0.00	\$3,966.77	\$3,966.77	\$396.68	\$396.68
777 00923		MILFORD BANK PENSION PLAN	08/21/2006	01	30.00%	\$0.00	\$0.00	\$872.72	\$872.72	\$261.82	\$261.82
777 00925		MILFORD BANK PENSION PLAN	08/01/2006	01	30.00%	\$0.00	\$0.00	\$549.09	\$549.09	\$164.73	\$164.73
777 00926		MILFORD BANK PENSION PLAN	08/01/2006	01	30.00%	\$0.00	\$0.00	\$1,516.17	\$1,516.17	\$454.85	\$454.85
777 20014		F/B/O STEPHEN P CHERNOCK JR	02/06/2004	99	10.00%	\$0.00	\$0.00	\$425.42	\$425.42	\$42.54	\$42.54
777 20017		PAUL M GAGLIARDI REVOC TRUST	02/12/2004	99	10.00%	\$0.00	\$0.00	(\$1,278.63)	(\$1,278.63)	(\$127.86)	(\$127.86)
777 20017		PAUL M GAGLIARDI REVOC TRUST	02/12/2004	99	10.00%	\$0.00	\$0.00	\$1,278.63	\$1,278.63	\$127.86	\$127.86
777 20017		PAUL M GAGLIARDI REVOC TRUST	02/12/2004	99	10.00%	\$0.00	\$0.00	\$428.48	\$428.48	\$42.85	\$42.85
777 53485		FRANK R MATTHEWS	10/03/2003	99	10.00%	\$0.00	\$0.00	\$452.38	\$452.38	\$45.24	\$45.24
Reg Rep Total:						\$0.00	\$0.00	\$91,941.14	\$91,941.14	\$3,680.16	\$3,680.16

PA12

Compensation Report

NEUBERGER BERMAN

Month End : Apr-2007

Marketer Name	Reg Customer Account Name	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
390 KSE GROUP/NY											
777 00362	JBC ASSOCIATES LIMITED		01/20/2005	99	10.00%	\$0.00	\$0.00	\$387.42	\$387.42	\$38.74	\$38.74
777 00367	CSC ASSOCIATES LIMITED		01/19/2005	99	10.00%	\$0.00	\$0.00	\$395.79	\$395.79	\$39.58	\$39.58
777 00414	CATHRYN M HOLLINGER		02/28/2005	99	10.00%	\$0.00	\$0.00	\$536.29	\$536.29	\$53.63	\$53.63
777 00445	BARBARA R GOODMAN MARITAL TF		04/05/2005	99	10.00%	\$0.00	\$0.00	\$98.27	\$98.27	\$9.83	\$9.83
777 00446	BARBARA R GOODMAN CREDIT		04/05/2005	99	10.00%	\$0.00	\$0.00	\$546.88	\$546.88	\$54.69	\$54.69
777 00470	AWA FUND		04/19/2005	99	10.00%	\$0.00	\$0.00	\$763.11	\$763.11	\$76.31	\$76.31
777 00563	JOHN E CONELIAS		08/11/2005	99	10.00%	\$0.00	\$0.00	\$1,423.14	\$1,423.14	\$142.31	\$142.31
777 00580	JOHN BLATZ		09/07/2005	99	10.00%	\$0.00	\$0.00	\$747.38	\$747.38	\$74.74	\$74.74
777 00645	MASTER PEN PLAN FOR HOURLY		11/22/2005	99	10.00%	\$0.00	\$0.00	\$606.93	\$606.93	\$60.69	\$60.69
777 00646	MASTER PEN PLAN FOR SALARIED		11/22/2005	99	10.00%	\$0.00	\$0.00	\$1,176.05	\$1,176.05	\$117.61	\$117.61
777 01184	JEFFREY T CAMMANS		10/10/2006	01	30.00%	\$0.00	\$0.00	\$679.43	\$679.43	\$203.83	\$203.83
777 20015	F/B/O STEPHEN P CHERNOCK JR		02/05/2004	99	10.00%	\$0.00	\$0.00	\$469.99	\$469.99	\$47.00	\$47.00
777 20016	PAUL M GAGLIARDI		02/10/2004	99	10.00%	\$0.00	\$0.00	(\$2,116.89)	(\$2,116.89)	(\$211.69)	(\$211.69)
777 20016	PAUL M GAGLIARDI		02/10/2004	99	10.00%	\$0.00	\$0.00	\$2,116.89	\$2,116.89	\$211.69	\$211.69
777 20016	PAUL M GAGLIARDI		02/10/2004	99	10.00%	\$0.00	\$0.00	\$726.76	\$726.76	\$72.68	\$72.68
777 53486	FRANK R MATTHEWS		10/02/2003	99	10.00%	\$0.00	\$0.00	\$521.58	\$521.58	\$52.16	\$52.16
Reg Rep Total:						\$0.00	\$0.00	\$9,079.02	\$9,079.02	\$1,043.80	\$1,043.80
881 M KAMINSKY /NY											
521 01163	F/B/O EDDIE SITT		08/29/2005	99	10.00%	\$0.00	\$0.00	\$588.10	\$588.10	\$58.81	\$58.81
Reg Rep Total:						\$0.00	\$0.00	\$588.10	\$588.10	\$58.81	\$58.81
A12 JEFFREY BOLTON											
550 02168	WMBRH-KENNEDY DUNCAN FUND		06/23/2006	01	30.00%	\$0.00	\$0.00	\$852.92	\$852.92	\$255.88	\$255.88
550 02175	WMBRH-STURGIS FUND		06/23/2006	01	30.00%	\$0.00	\$0.00	\$507.54	\$507.54	\$152.26	\$152.26

PA12

Compensation Report

NEUBERGER BERMAN

Month End : Apr-2007

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
A12 JEFFREY BOLTON											
	550 02176	WMBRH-HOME HEALTH EDUCATIO	06/23/2006	01	30.00%	\$0.00	\$0.00	\$166.96	\$166.96	\$50.09	\$50.09
Reg Rep Total:						\$0.00	\$0.00	\$1,527.42	\$1,527.42	\$458.23	\$458.23
B73 INTERNATIONAL EQUITY / NEW YORK											
	777 00378	CITY OF BRISTOL GENERAL	01/19/2005	99	10.00%	\$0.00	\$0.00	(\$60,683.63)	(\$60,683.63)	(\$6,068.36)	(\$6,068.36)
	777 00378	CITY OF BRISTOL GENERAL	01/19/2005	99	10.00%	\$0.00	\$0.00	\$60,683.63	\$60,683.63	\$6,068.36	\$6,068.36
	777 00378	CITY OF BRISTOL GENERAL	01/19/2005	99	10.00%	\$0.00	\$0.00	\$20,753.13	\$20,753.13	\$2,075.31	\$2,075.31
	777 00683	MILFORD HOSPITAL PENSION PLAN	01/25/2006	99	10.00%	\$0.00	\$0.00	\$5,161.86	\$5,161.86	\$516.19	\$516.19
Reg Rep Total:						\$0.00	\$0.00	\$25,914.99	\$25,914.99	\$2,591.50	\$2,591.50
I93 PAMAS MUTUAL FUNDS / NEW YORK											
	777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	(\$345.43)	(\$345.43)	(\$34.54)	(\$34.54)
	777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	(\$2,438.78)	(\$2,438.78)	(\$243.88)	(\$243.88)
Reg Rep Total:						\$0.00	\$0.00	(\$2,784.21)	(\$2,784.21)	(\$278.42)	(\$278.42)
Marketer Total:						\$0.00	\$0.00	\$173,847.00	\$173,847.00	\$15,216.95	\$15,216.95

NEUBERGER BERMAN

**CHARLES DICCIANNI
COMPENSATION REPORT
May-07**

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION	29,305.69
ADD: 1Q07 Tier Adjustment	0.00
TOTAL	29,305.69

EARNINGS CODE/RSU BREAKDOWN:

CASH - C N B	29,305.69
RSU - C N 5	0.00
CASH - C N A	0.00
RSU - C D 5	0.00

Employee Name	Prod Month	
Diccianni, Charles		
	12/1/2006	2,661.50
	1/31/2007	851.39
	2/28/2007	1,753.44
	3/31/2007	1,379.00
	4/1/2007	1,729.16
	5/1/2007	7,365.25
Diccianni, Charles Total		15,739.74

Compensation Report**NEUBERGER BERMAN**

08-13555-mg

Doc 22717-3

Filed 11/29/11

Entered 11/29/11

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Exhibit

Exhibit A (part 3) to Diccianni Affidavit Pg 10 of 55

Month End : May-2007

Marketer Reg Customer Name	Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
223 SCHWARTZ NEW YORK											
777 00874		CITY OF STAMFORD FIREMEN	05/01/2003	99	10.00%	\$0.00	\$0.00	\$17,242.18	\$17,242.18	\$1,724.22	\$1,724.22
777 00898		CITY OF BRISTOL EMPLOYEE	07/01/2006	01	30.00%	\$0.00	\$0.00	\$15,142.76	\$15,142.76	\$4,542.83	\$4,542.83
777 02056		CITY OF MILFORD EMPLOYEES	05/07/2007	01	30.00%	\$0.00	\$0.00	\$22,804.01	\$22,804.01	\$6,841.20	\$6,841.20
Reg Rep Total:						\$0.00	\$0.00	\$55,188.95	\$55,188.95	\$13,108.25	\$13,108.25
251 FIXED INCOME/NY											
551 13224		SAMUEL S BERGAMI JR &	09/30/2001	99	10.00%	\$0.00	\$0.00	\$1,723.25	\$1,723.25	\$172.33	\$172.33
777 00417		MERIDEN CITY EMPLOYEES	02/28/2005	99	10.00%	\$0.00	\$0.00	\$1,184.23	\$1,184.23	\$118.42	\$118.42
777 00418		MERIDEN POLICE & FIRE	02/28/2005	99	10.00%	\$0.00	\$0.00	\$1,282.99	\$1,282.99	\$128.30	\$128.30
777 00685		MILFORD HOSPITAL PENSION PLAN	01/25/2006	99	10.00%	\$0.00	\$0.00	\$3,784.01	\$3,784.01	\$378.40	\$378.40
777 00924		MILFORD BANK PENSION PLAN	08/01/2006	01	20.00%	\$0.00	\$0.00	\$454.28	\$454.28	\$90.86	\$90.86
777 11009		STAMFORD FIREFIGHTERS PENSIO	05/01/2003	99	7.50%	\$0.00	\$0.00	\$6,860.94	\$6,860.94	\$514.57	\$514.57
Reg Rep Total:						\$0.00	\$0.00	\$15,289.70	\$15,289.70	\$1,402.88	\$1,402.88
288 APPEL NEW YORK											
550 01688		MILFORD HOSPITAL MID CAP	01/01/2006	99	10.00%	\$0.00	\$0.00	\$2,355.19	\$2,355.19	\$235.52	\$235.52
550 02759		ARCHDIOCESAN PENSION PLAN	01/31/2007	01	20.00%	\$0.00	\$0.00	(\$13,746.09)	(\$13,746.09)	(\$2,749.22)	(\$2,749.22)
550 02759		ARCHDIOCESAN PENSION PLAN	01/31/2007	01	20.00%	\$0.00	\$0.00	\$26,881.78	\$26,881.78	\$5,376.36	\$5,376.36
550 02759		ARCHDIOCESAN PENSION PLAN		XX		\$0.00	\$0.00	\$0.00	\$0.00	(\$1,313.57)	(\$1,313.57)
550 02760		THE TRUSTEES OF ST PATRICKS	01/31/2007	01	20.00%	\$0.00	\$0.00	(\$6,338.45)	(\$6,338.45)	(\$1,267.69)	(\$1,267.69)
550 02760		THE TRUSTEES OF ST PATRICKS	01/31/2007	01	20.00%	\$0.00	\$0.00	\$12,395.45	\$12,395.45	\$2,479.09	\$2,479.09
550 02760		THE TRUSTEES OF ST PATRICKS		XX		\$0.00	\$0.00	\$0.00	\$0.00	(\$605.70)	(\$605.70)
550 02869		CATHOLIC INDEMNITY INSURANCE	04/24/2007	01	30.00%	\$0.00	\$0.00	\$1,904.69	\$1,904.69	\$571.41	\$571.41
555 10968		EDWARD SAAD AND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$290.70	\$290.70	\$87.21	\$87.21
777 00008		CITY OF MILFORD PENSION FUND	07/01/2004	99	10.00%	\$0.00	\$0.00	(\$9,145.25)	(\$9,145.25)	(\$914.53)	(\$914.53)

Compensation Report

NEUBERGER BERMAN

08-13555-mg

Doc 22717-3

Filed 11/29/11

Entered 11/29/11

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Exhibit

Exhibit A (part 3) to Diccianni Affidavit Pg 11 of 55

Month End : May-2007

Marketer Reg Name	Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
288 APPEL NEW YORK											
	777 00008	CITY OF MILFORD PENSION FUND	07/01/2004	99	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	777 00008	CITY OF MILFORD PENSION FUND	07/01/2004	99	10.00%	\$0.00	\$0.00	\$17,778.83	\$17,778.83	\$1,777.88	\$1,777.88
	777 00224	CITY OF BRISTOL MUNICIPAL EMPL	07/27/2004	99	10.00%	\$0.00	\$0.00	\$40,700.64	\$40,700.64	\$4,070.06	\$4,070.06
	777 00365	JBC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$728.19	\$728.19	\$72.82	\$72.82
	777 00366	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$369.29	\$369.29	\$36.93	\$36.93
	777 00680	MILFORD HOSPITAL PENSION PLAN	01/31/2006	99	10.00%	\$0.00	\$0.00	\$10,076.33	\$10,076.33	\$1,007.63	\$1,007.63
	777 00682	MILFORD HOSPITAL PENSION PLAN	02/03/2006	99	10.00%	\$0.00	\$0.00	\$3,966.77	\$3,966.77	\$396.68	\$396.68
	777 00923	MILFORD BANK PENSION PLAN	08/21/2006	01	30.00%	\$0.00	\$0.00	\$872.72	\$872.72	\$261.82	\$261.82
	777 00925	MILFORD BANK PENSION PLAN	08/01/2006	01	30.00%	\$0.00	\$0.00	\$549.09	\$549.09	\$164.73	\$164.73
	777 00926	MILFORD BANK PENSION PLAN	08/01/2006	01	30.00%	\$0.00	\$0.00	\$1,516.17	\$1,516.17	\$454.85	\$454.85
	777 20014	F/B/O STEPHEN P CHERNOCK JR	02/06/2004	99	10.00%	\$0.00	\$0.00	\$425.42	\$425.42	\$42.54	\$42.54
	777 20017	PAUL M GAGLIARDI REVOC TRUST	02/12/2004	99	10.00%	\$0.00	\$0.00	\$428.48	\$428.48	\$42.85	\$42.85
	777 53485	FRANK R MATTHEWS	10/03/2003	99	10.00%	\$0.00	\$0.00	\$452.38	\$452.38	\$45.24	\$45.24
						Reg Rep Total:	\$0.00	\$92,462.33	\$92,462.33	\$10,272.91	\$10,272.91
390 KSE GROUP/IN											
	777 00362	JBC ASSOCIATES LIMITED	01/20/2005	99	10.00%	\$0.00	\$0.00	\$387.42	\$387.42	\$38.74	\$38.74
	777 00367	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$395.79	\$395.79	\$39.58	\$39.58
	777 00414	CATHRYN M HOLLINGER	02/28/2005	99	10.00%	\$0.00	\$0.00	\$536.29	\$536.29	\$53.63	\$53.63
	777 00445	BARBARA R GOODMAN MARITAL TF	04/05/2005	99	10.00%	\$0.00	\$0.00	\$98.27	\$98.27	\$9.83	\$9.83
	777 00446	BARBARA R GOODMAN CREDIT	04/05/2005	99	10.00%	\$0.00	\$0.00	\$546.88	\$546.88	\$54.69	\$54.69
	777 00470	AWA FUND	04/19/2005	99	10.00%	\$0.00	\$0.00	\$763.11	\$763.11	\$76.31	\$76.31
	777 00563	JOHN E CONELIAS	08/11/2005	99	10.00%	\$0.00	\$0.00	\$1,423.14	\$1,423.14	\$142.31	\$142.31
	777 00580	JOHN BLATZ	09/07/2005	99	10.00%	\$0.00	\$0.00	\$747.38	\$747.38	\$74.74	\$74.74

Compensation Report

NEUBERGER BERMAN

Month End : May-2007

Marketer Reg Customer		Account	Start Date	Tier	Pct	Total	PO Comm	Quarterly	Monthly	PO Fee	Total
Name	Rep Account	Name			Payout	Comm	Amt	Fee	Fee	Amt	Payout
DICCIANNI, CHARLES											
390 KSE GROUP/NY											
	777 00645	MASTER PEN PLAN FOR HOURLY	11/22/2005	99	10.00%	\$0.00	\$0.00	\$606.93	\$606.93	\$60.69	\$60.69
	777 00646	MASTER PEN PLAN FOR SALARIED	11/22/2005	99	10.00%	\$0.00	\$0.00	\$1,176.05	\$1,176.05	\$117.61	\$117.61
	777 01184	JEFFREY T CAMMANS	10/10/2006	01	30.00%	\$0.00	\$0.00	\$679.43	\$679.43	\$203.83	\$203.83
	777 20015	F/B/O STEPHEN P CHERNOCK JR	02/05/2004	99	10.00%	\$0.00	\$0.00	\$469.99	\$469.99	\$47.00	\$47.00
	777 20016	PAUL M GAGLIARDI	02/10/2004	99	10.00%	\$0.00	\$0.00	\$726.76	\$726.76	\$72.68	\$72.68
	777 53486	FRANK R MATTHEWS	10/02/2003	99	10.00%	\$0.00	\$0.00	\$521.58	\$521.58	\$52.16	\$52.16
	Reg Rep Total:					\$0.00	\$0.00	\$9,079.02	\$9,079.02	\$1,043.80	\$1,043.80
881	M KAMINSKY /NY										
	521 01163	F/B/O EDDIE SITT	08/29/2005	99	10.00%	\$0.00	\$0.00	\$588.12	\$588.12	\$58.81	\$58.81
	Reg Rep Total:					\$0.00	\$0.00	\$588.12	\$588.12	\$58.81	\$58.81
A12 JEFFREY BOLTON											
	550 02168	WMBRH-KENNEDY DUNCAN FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$852.92	\$852.92	\$255.88	\$255.88
	550 02175	WMBRH-STURGIS FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$507.54	\$507.54	\$152.26	\$152.26
	550 02176	WMBRH-HOME HEALTH EDUCATIO	06/23/2006	01	30.00%	\$0.00	\$0.00	\$166.96	\$166.96	\$50.09	\$50.09
	Reg Rep Total:					\$0.00	\$0.00	\$1,527.42	\$1,527.42	\$458.23	\$458.23
B73 INTERNATIONAL EQUITY / NEW YORK											
	777 00378	CITY OF BRISTOL GENERAL	01/19/2005	99	10.00%	\$0.00	\$0.00	\$20,753.13	\$20,753.13	\$2,075.31	\$2,075.31
	777 00683	MILFORD HOSPITAL PENSION PLAN	01/25/2006	99	10.00%	\$0.00	\$0.00	\$5,161.86	\$5,161.86	\$516.19	\$516.19
	Reg Rep Total:					\$0.00	\$0.00	\$25,914.99	\$25,914.99	\$2,591.50	\$2,591.50
I93 PAMAS MUTUAL FUNDS / NEW YORK											
	777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	\$458.18	\$458.18	\$45.82	\$45.82

Compensation Report**PA12****NEUBERGER BERMAN****Month End : May-2007**

Marketer Reg Customer Name	Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
I93	PAMAS	MUTUAL FUNDS / NEW YORK									
777 00212	WHITNEY CENTER INVESTMENT		07/01/2004	99	10.00%	\$0.00	\$0.00	\$3,234.88	\$3,234.88	\$323.49	\$323.49
Reg Rep Total:						\$0.00	\$0.00	\$3,693.06	\$3,693.06	\$369.31	\$369.31
Marketer Total:						\$0.00	\$0.00	\$203,743.59	\$203,743.59	\$29,305.69	\$29,305.69

NEUBERGER BERMAN

**CHARLES DICCIANNI
COMPENSATION REPORT****June-07****PAYOUT COMPUTATION**

TOTAL PRODUCTION COMPENSATION	27,204.87
ADD: 1Q07 Tier Adjustment	0.00
TOTAL	27,204.87

EARNINGS CODE/RSU BREAKDOWN:

CASH - C N B	27,204.87
RSU - C N 5	0.00
CASH - C N A	0.00
RSU - C D 5	0.00

2007 RSU Statements

Employee Name	Prod Month	
Diccianni, Charles		
	12/1/2006	2,661.50
	1/31/2007	851.39
	2/28/2007	1,753.44
	3/31/2007	1,379.00
	4/1/2007	1,729.16
	5/1/2007	7,365.25
	6/1/2007	3,551.57
Diccianni, Charles Total		19,291.31

Compensation Report

NEUBERGER BERMAN

08-13555-mg

Doc 22717-3

Filed 11/29/11

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Exhibit

Exhibit A (part 3) to Diccianni Affidavit Pg 16 of 55

Month End : Jun-2007

Marketer Name	Reg Customer Name	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
223 SCHWARTZ NEW YORK											
777 00874	CITY OF STAMFORD FIREMEN		05/01/2003	99	10.00%	\$0.00	\$0.00	\$17,242.18	\$17,242.18	\$1,724.22	\$1,724.22
777 00898	CITY OF BRISTOL EMPLOYEE		07/01/2006	01	30.00%	\$0.00	\$0.00	\$15,142.76	\$15,142.76	\$4,542.83	\$4,542.83
777 02056	CITY OF MILFORD EMPLOYEES		05/07/2007	01	30.00%	\$0.00	\$0.00	\$11,402.00	\$11,402.00	\$3,420.60	\$3,420.60
Reg Rep Total:						\$0.00	\$0.00	\$43,786.94	\$43,786.94	\$9,687.65	\$9,687.65
251 FIXED INCOME/NY											
551 13224	SAMUEL S BERGAMI JR &		09/30/2001	99	10.00%	\$0.00	\$0.00	\$1,723.25	\$1,723.25	\$172.33	\$172.33
777 00417	MERIDEN CITY EMPLOYEES		02/28/2005	99	10.00%	\$0.00	\$0.00	\$1,184.23	\$1,184.23	\$118.42	\$118.42
777 00418	MERIDEN POLICE & FIRE		02/28/2005	99	10.00%	\$0.00	\$0.00	\$1,282.99	\$1,282.99	\$128.30	\$128.30
777 00685	MILFORD HOSPITAL PENSION PLAN		01/25/2006	99	10.00%	\$0.00	\$0.00	\$3,784.01	\$3,784.01	\$378.40	\$378.40
777 00924	MILFORD BANK PENSION PLAN		08/01/2006	01	20.00%	\$0.00	\$0.00	\$454.28	\$454.28	\$90.86	\$90.86
777 11009	STAMFORD FIREFIGHTERS PENSIO		05/01/2003	99	7.50%	\$0.00	\$0.00	\$6,860.94	\$6,860.94	\$514.57	\$514.57
Reg Rep Total:						\$0.00	\$0.00	\$15,289.70	\$15,289.70	\$1,402.88	\$1,402.88
288 APPEL NEW YORK											
550 01688	MILFORD HOSPITAL MID CAP		01/01/2006	99	10.00%	\$0.00	\$0.00	\$2,355.19	\$2,355.19	\$235.52	\$235.52
550 02759	ARCHDIOCESAN PENSION PLAN		01/31/2007	01	20.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
550 02759	ARCHDIOCESAN PENSION PLAN		01/31/2007	01	20.00%	\$0.00	\$0.00	\$13,440.89	\$13,440.89	\$2,688.18	\$2,688.18
550 02760	THE TRUSTEES OF ST PATRICKS		01/31/2007	01	20.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
550 02760	THE TRUSTEES OF ST PATRICKS		01/31/2007	01	20.00%	\$0.00	\$0.00	\$6,197.72	\$6,197.72	\$1,239.54	\$1,239.54
550 02869	CATHOLIC INDEMNITY INSURANCE		04/24/2007	01	30.00%	\$0.00	\$0.00	\$952.34	\$952.34	\$285.70	\$285.70
550 02869	CATHOLIC INDEMNITY INSURANCE			XX		\$0.00	\$0.00	\$0.00	\$0.00	(\$285.70)	(\$285.70)
550 02869	CATHOLIC INDEMNITY INSURANCE			XX		\$0.00	\$0.00	\$0.00	\$0.00	(\$142.85)	(\$142.85)
555 10968	EDWARD SAAD AND		06/23/2006	01	30.00%	\$0.00	\$0.00	\$290.70	\$290.70	\$87.21	\$87.21
777 00008	CITY OF MILFORD PENSION FUND		07/01/2004	99	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Compensation Report

NEUBERGER BERMAN

08-13555-mg

Doc 22717-3

Filed 11/29/11

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Exhibit

Exhibit A (part 3) to Diccianni Affidavit Pg 17 of 55

Month End : Jun-2007

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
288 APPEL NEW YORK											
	777 00008	CITY OF MILFORD PENSION FUND	07/01/2004	99	10.00%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	777 00008	CITY OF MILFORD PENSION FUND	07/01/2004	99	10.00%	\$0.00	\$0.00	\$8,889.41	\$8,889.41	\$888.94	\$888.94
	777 00224	CITY OF BRISTOL MUNICIPAL EMPL	07/27/2004	99	10.00%	\$0.00	\$0.00	\$40,700.64	\$40,700.64	\$4,070.06	\$4,070.06
	777 00365	JBC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$728.19	\$728.19	\$72.82	\$72.82
	777 00366	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$369.29	\$369.29	\$36.93	\$36.93
	777 00680	MILFORD HOSPITAL PENSION PLAN	01/31/2006	99	10.00%	\$0.00	\$0.00	\$10,076.33	\$10,076.33	\$1,007.63	\$1,007.63
	777 00682	MILFORD HOSPITAL PENSION PLAN	02/03/2006	99	10.00%	\$0.00	\$0.00	\$3,966.77	\$3,966.77	\$396.68	\$396.68
	777 00923	MILFORD BANK PENSION PLAN	08/21/2006	01	30.00%	\$0.00	\$0.00	\$872.72	\$872.72	\$261.82	\$261.82
	777 00925	MILFORD BANK PENSION PLAN	08/01/2006	01	30.00%	\$0.00	\$0.00	\$549.09	\$549.09	\$164.73	\$164.73
	777 00926	MILFORD BANK PENSION PLAN	08/01/2006	01	30.00%	\$0.00	\$0.00	\$1,516.17	\$1,516.17	\$454.85	\$454.85
	777 20014	F/B/O STEPHEN P CHERNOCK JR	02/06/2004	99	10.00%	\$0.00	\$0.00	\$425.42	\$425.42	\$42.54	\$42.54
	777 20017	PAUL M GAGLIARDI REVOC TRUST	02/12/2004	99	10.00%	\$0.00	\$0.00	\$428.48	\$428.48	\$42.85	\$42.85
	777 53485	FRANK R MATTHEWS	10/03/2003	99	10.00%	\$0.00	\$0.00	\$452.38	\$452.38	\$45.24	\$45.24
Reg Rep Total:						\$0.00	\$0.00	\$92,211.73	\$92,211.73	\$11,592.69	\$11,592.69
390 KSE GROUP/IN											
	777 00362	JBC ASSOCIATES LIMITED	01/20/2005	99	10.00%	\$0.00	\$0.00	\$387.42	\$387.42	\$38.74	\$38.74
	777 00367	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$395.79	\$395.79	\$39.58	\$39.58
	777 00414	CATHRYN M HOLLINGER	02/28/2005	99	10.00%	\$0.00	\$0.00	\$536.29	\$536.29	\$53.63	\$53.63
	777 00445	BARBARA R GOODMAN MARITAL TF	04/05/2005	99	10.00%	\$0.00	\$0.00	\$98.27	\$98.27	\$9.83	\$9.83
	777 00446	BARBARA R GOODMAN CREDIT	04/05/2005	99	10.00%	\$0.00	\$0.00	\$546.88	\$546.88	\$54.69	\$54.69
	777 00470	AWA FUND	04/19/2005	99	10.00%	\$0.00	\$0.00	\$763.11	\$763.11	\$76.31	\$76.31
	777 00563	JOHN E CONELIAS	08/11/2005	99	10.00%	\$0.00	\$0.00	\$1,423.14	\$1,423.14	\$142.31	\$142.31
	777 00580	JOHN BLATZ	09/07/2005	99	10.00%	\$0.00	\$0.00	\$747.38	\$747.38	\$74.74	\$74.74

PA12

Compensation Report

NEUBERGER BERMAN

Month End : Jun-2007

Marketer Name	Reg Customer Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
390 KSE GROUP/NY											
	777 00645	MASTER PEN PLAN FOR HOURLY	11/22/2005	99	10.00%	\$0.00	\$0.00	\$606.93	\$606.93	\$60.69	\$60.69
	777 00646	MASTER PEN PLAN FOR SALARIED	11/22/2005	99	10.00%	\$0.00	\$0.00	\$1,176.05	\$1,176.05	\$117.61	\$117.61
	777 01184	JEFFREY T CAMMANS	10/10/2006	01	30.00%	\$0.00	\$0.00	\$679.43	\$679.43	\$203.83	\$203.83
	777 20015	F/B/O STEPHEN P CHERNOCK JR	02/05/2004	99	10.00%	\$0.00	\$0.00	\$469.99	\$469.99	\$47.00	\$47.00
	777 20016	PAUL M GAGLIARDI	02/10/2004	99	10.00%	\$0.00	\$0.00	\$726.76	\$726.76	\$72.68	\$72.68
	777 53486	FRANK R MATTHEWS	10/02/2003	99	10.00%	\$0.00	\$0.00	\$521.58	\$521.58	\$52.16	\$52.16
		Reg Rep Total:				\$0.00	\$0.00	\$9,079.02	\$9,079.02	\$1,043.80	\$1,043.80
881 M KAMINSKY /NY											
	521 01163	F/B/O EDDIE SITT	08/29/2005	99	10.00%	\$0.00	\$0.00	\$588.12	\$588.12	\$58.81	\$58.81
		Reg Rep Total:				\$0.00	\$0.00	\$588.12	\$588.12	\$58.81	\$58.81
A12 JEFFREY BOLTON											
	550 02168	WMBRH-KENNEDY DUNCAN FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$852.92	\$852.92	\$255.88	\$255.88
	550 02175	WMBRH-STURGIS FUND	06/23/2006	01	30.00%	\$0.00	\$0.00	\$507.54	\$507.54	\$152.26	\$152.26
	550 02176	WMBRH-HOME HEALTH EDUCATION	06/23/2006	01	30.00%	\$0.00	\$0.00	\$166.96	\$166.96	\$50.09	\$50.09
		Reg Rep Total:				\$0.00	\$0.00	\$1,527.42	\$1,527.42	\$458.23	\$458.23
B73 INTERNATIONAL EQUITY / NEW YORK											
	777 00378	CITY OF BRISTOL GENERAL	01/19/2005	99	10.00%	\$0.00	\$0.00	\$20,753.13	\$20,753.13	\$2,075.31	\$2,075.31
	777 00683	MILFORD HOSPITAL PENSION PLAN	01/25/2006	99	10.00%	\$0.00	\$0.00	\$5,161.86	\$5,161.86	\$516.19	\$516.19
		Reg Rep Total:				\$0.00	\$0.00	\$25,914.99	\$25,914.99	\$2,591.50	\$2,591.50
I93 PAMAS MUTUAL FUNDS / NEW YORK											
	777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	\$458.18	\$458.18	\$45.82	\$45.82

Compensation Report**PA12****NEUBERGER BERMAN****Month End : Jun-2007**

Marketer Reg Customer Name Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES										
93 PAMAS MUTUAL FUNDS / NEW YORK										
777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	\$3,234.88	\$3,234.88	\$323.49	\$323.49
Reg Rep Total:					\$0.00	\$0.00	\$3,693.06	\$3,693.06	\$369.31	\$369.31
Marketer Total:					\$0.00	\$0.00	\$192,090.98	\$192,090.98	\$27,204.87	\$27,204.87

NEUBERGER BERMAN

**CHARLES DICCIANNI
COMPENSATION REPORT
July-07**

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION	12,807.63
ADD: 1Q07 Tier Adjustment	0.00
TOTAL	<u>12,807.63</u>

EARNINGS CODE/RSU BREAKDOWN:

CASH - C N B	12,261.37
RSU - C N 5	546.26
CASH - C N A	0.00
RSU - C D 5	0.00

Employee Name	Prod Month	
Diccianni, Charles		
	12/1/2006	2,661.50
	1/31/2007	851.39
	2/28/2007	1,753.44
	3/31/2007	1,379.00
	4/1/2007	1,729.16
	5/1/2007	7,365.25
	6/1/2007	3,551.57
	7/1/2007	546.26
Diccianni, Charles Total		19,837.57

Compensation Report

NEUBERGER BERMAN

08-13555-mg

Doc 22717-3
Exhibit A (part 3)Filed 11/29/11
to Diccianni AffidavitEntered 11/29/11 11:27:53
Pg 22 of 55

Exhibit

Month End : Jul-2007

Marketer Name	Reg Customer Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
223	✓	SCHWARTZ NEW YORK									
	✓	777 00898 CITY OF BRISTOL EMPLOYEE	07/01/2006	99	10.00%	\$0.00	\$0.00	\$16,515.59	\$16,515.59	\$1,651.56	\$1,651.56
		777 00874 <i>Shawford</i>									
		777 00874 <i>or 777 00856 Milford</i>									
251	✓	FIXED INCOME/NY									
	✓	551 13224 SAMUEL S BERGAMI JR &	09/30/2001	99	10.00%	\$0.00	\$0.00	\$1,719.73	\$1,719.73	\$171.97	\$171.97
	✓	777 00685 MILFORD HOSPITAL PENSION PLAN	01/25/2006	99	10.00%	\$0.00	\$0.00	\$3,766.90	\$3,766.90	\$376.69	\$376.69
		<i>Milford 777 00417 & 418</i>									
288	✓	APPEL NEW YORK									
	✓	550 01688 MILFORD HOSPITAL MID CAP	01/01/2006	99	10.00%	\$0.00	\$0.00	\$2,482.51	\$2,482.51	\$248.25	\$248.25
	✓	550 02759 ARCHDIOCESAN PENSION PLAN	01/31/2007	01	30.00%	\$0.00	\$0.00	\$14,180.98	\$14,180.98	\$4,254.29	\$4,254.29
	✓	550 02759 ARCHDIOCESAN PENSION PLAN		XX		\$0.00	\$0.00	\$0.00	\$0.00	(\$2,127.15)	(\$2,127.15)
	✓	550 02760 THE TRUSTEES OF ST PATRICKS	01/31/2007	01	30.00%	\$0.00	\$0.00	\$6,516.29	\$6,516.29	\$1,954.89	\$1,954.89
	✓	550 02760 THE TRUSTEES OF ST PATRICKS		XX		\$0.00	\$0.00	\$0.00	\$0.00	(\$977.45)	(\$977.45)
	✓	550 02869 CATHOLIC INDEMNITY INSURANCE	04/24/2007	01	30.00%	\$0.00	\$0.00	\$1,295.90	\$1,295.90	\$388.77	\$388.77
	✓	550 02869 CATHOLIC INDEMNITY INSURANCE		XX		\$0.00	\$0.00	\$0.00	\$0.00	(\$194.39)	(\$194.39)
	✓	555 10968 EDWARD SAAD AND	06/23/2006	99	10.00%	\$0.00	\$0.00	\$314.61	\$314.61	\$31.46	\$31.46
	✓	777 00224 CITY OF BRISTOL MUNICIPAL EMPL	07/27/2004	99	10.00%	\$0.00	\$0.00	\$29,637.93	\$29,637.93	\$2,963.79	\$2,963.79
	✓	777 00365 JBC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$397.98	\$397.98	\$39.80	\$39.80
	✓	777 00366 CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$397.38	\$397.38	\$39.74	\$39.74
	✓	777 00680 MILFORD HOSPITAL PENSION PLAN	01/31/2006	99	10.00%	\$0.00	\$0.00	\$10,906.94	\$10,906.94	\$1,090.69	\$1,090.69
	✓	777 00682 MILFORD HOSPITAL PENSION PLAN	02/03/2006	99	10.00%	\$0.00	\$0.00	\$4,127.24	\$4,127.24	\$412.72	\$412.72
	✓	777 00923 MILFORD BANK PENSION PLAN	08/21/2006	01	30.00%	\$0.00	\$0.00	(\$2,618.15)	(\$2,618.15)	(\$785.44)	(\$785.44)
	✓	777 00923 MILFORD BANK PENSION PLAN	08/21/2006	01	30.00%	\$0.00	\$0.00	(\$2,618.15)	(\$2,618.15)	(\$785.44)	(\$785.44)
	✓	777 00923 MILFORD BANK PENSION PLAN	08/21/2006	01	30.00%	\$0.00	\$0.00	\$734.75	\$734.75	\$220.42	\$220.42

Reg Rep Total:

777 11009 *Shawford*

Reg Rep Total:

777 00417 & 418

777 00008 *City of Bristol*

Compensation Report

NEUBERGER BERMAN

08-13555-mg

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Exhibit

Exhibit A (part 3) to Diccianni Affidavit Pg 23 of 55

Month End : Jul-2007

Marketer Name	Reg Customer Name	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
288 APPEL NEW YORK											
✓ 777 00923	MILFORD BANK PENSION PLAN		08/21/2006	01	30.00%	\$0.00	\$0.00	(\$2,543.37)	(\$2,543.37)	(\$763.01)	(\$763.01)
✓ 777 20014	F/B/O STEPHEN P CHERNOCK JR		02/06/2004	99	10.00%	\$0.00	\$0.00	\$457.72	\$457.72	\$45.77	\$45.77
✓ 777 20017	PAUL M GAGLIARDI REVOC TRUST		02/12/2004	99	10.00%	\$0.00	\$0.00	\$460.62	\$460.62	\$46.06	\$46.06
✓ 777 53485	FRANK R MATTHEWS		10/03/2003	99	10.00%	\$0.00	\$0.00	\$486.19	\$486.19	\$48.62	\$48.62
Reg Rep Total:						\$0.00	\$0.00	\$64,617.37	\$64,617.37	\$6,152.39	\$6,152.39
390 KSE GROUP/IN											
✓ 777 00362	JBC ASSOCIATES LIMITED		01/20/2005	99	10.00%	\$0.00	\$0.00	\$411.79	\$411.79	\$41.18	\$41.18
✓ 777 00367	CSC ASSOCIATES LIMITED		01/19/2005	99	10.00%	\$0.00	\$0.00	\$421.63	\$421.63	\$42.16	\$42.16
✓ 777 00414	CATHRYN M HOLLINGER		02/28/2005	99	10.00%	\$0.00	\$0.00	\$567.32	\$567.32	\$56.73	\$56.73
✓ 777 00445	BARBARA R GOODMAN MARITAL TR		04/05/2005	99	10.00%	\$0.00	\$0.00	\$104.12	\$104.12	\$10.41	\$10.41
✓ 777 00446	BARBARA R GOODMAN CREDIT		04/05/2005	99	10.00%	\$0.00	\$0.00	\$582.03	\$582.03	\$58.20	\$58.20
✓ 777 00470	AWA FUND		04/19/2005	99	10.00%	\$0.00	\$0.00	\$962.97	\$962.97	\$96.30	\$96.30
✓ 777 00563	JOHN E CONELIAS		08/11/2005	99	10.00%	\$0.00	\$0.00	\$1,512.70	\$1,512.70	\$151.27	\$151.27
✓ 777 00580	JOHN BLATZ		09/07/2005	99	10.00%	\$0.00	\$0.00	\$791.89	\$791.89	\$79.19	\$79.19
✓ 777 00645	MASTER PEN PLAN FOR HOURLY		11/22/2005	99	10.00%	\$0.00	\$0.00	\$611.30	\$611.30	\$61.13	\$61.13
✓ 777 00646	MASTER PEN PLAN FOR SALARIED		11/22/2005	99	10.00%	\$0.00	\$0.00	\$1,248.33	\$1,248.33	\$124.83	\$124.83
✓ 777 01184	JEFFREY T CAMMANS		10/10/2006	01	30.00%	\$0.00	\$0.00	\$718.59	\$718.59	\$215.58	\$215.58
✓ 777 20015	F/B/O STEPHEN P CHERNOCK JR		02/05/2004	99	10.00%	\$0.00	\$0.00	\$505.83	\$505.83	\$50.58	\$50.58
✓ 777 20016	PAUL M GAGLIARDI		02/10/2004	99	10.00%	\$0.00	\$0.00	\$774.75	\$774.75	\$77.48	\$77.48
✓ 777 53486	FRANK R MATTHEWS		10/02/2003	99	10.00%	\$0.00	\$0.00	\$557.63	\$557.63	\$55.76	\$55.76
Reg Rep Total:						\$0.00	\$0.00	\$9,770.88	\$9,770.88	\$1,120.80	\$1,120.80

Compensation Report

NEUBERGER BERMAN

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Exhibit A (part 3) to Diccianni Affidavit Pg 24 of 55

Month End : Jul-2007

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
881	M KAMINSKY /NY										
✓	521 01163	F/B/O EDDIE SITT	08/29/2005	99	10.00%	\$0.00	\$0.00	\$614.83	\$614.83	\$61.48	\$61.48
Reg Rep Total:											
A12	JEFFREY BOLTON										
✓	550 02168	WMBRH-KENNEDY DUNCAN FUND	06/23/2006	99	10.00%	\$0.00	\$0.00	\$907.27	\$907.27	\$90.73	\$90.73
✓	550 02175	WMBRH-STURGIS FUND	06/23/2006	99	10.00%	\$0.00	\$0.00	\$538.98	\$538.98	\$53.90	\$53.90
✓	550 02176	WMBRH-HOME HEALTH EDUCATION	06/23/2006	99	10.00%	\$0.00	\$0.00	\$176.02	\$176.02	\$17.60	\$17.60
Reg Rep Total:											
B73	INTERNATIONAL EQUITY / NEW YORK										
✓	777 00378	CITY OF BRISTOL GENERAL	01/19/2005	99	10.00%	\$0.00	\$0.00	\$22,192.44	\$22,192.44	\$2,219.24	\$2,219.24
✓	777 00683	MILFORD HOSPITAL PENSION PLAN	01/25/2006	99	10.00%	\$0.00	\$0.00	\$5,561.68	\$5,561.68	\$556.17	\$556.17
Reg Rep Total:											
I93	PAMAS MUTUAL FUNDS / NEW YORK										
✓	777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	(\$1,349.41)	(\$1,349.41)	(\$134.94)	(\$134.94)
	777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	(\$1,374.54)	(\$1,374.54)	(\$137.45)	(\$137.45)
	777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	\$1,340.77	\$1,340.77	\$134.08	\$134.08
	777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	\$1,365.38	\$1,365.38	\$136.54	\$136.54
	777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	\$493.43	\$493.43	\$49.34	\$49.34
	777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	(\$8,627.74)	(\$8,627.74)	(\$862.77)	(\$862.77)
	777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	(\$9,704.63)	(\$9,704.63)	(\$970.46)	(\$970.46)
	777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	\$8,572.49	\$8,572.49	\$857.25	\$857.25
	777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	\$9,639.95	\$9,639.95	\$964.00	\$964.00

PA12

Compensation Report

NEUBERGER BERMAN

Month End : Jul-2007

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES	193	PAMAS MUTUAL FUNDS / NEW YORK									
	777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	\$2,995.08	\$2,995.08	\$299.51	\$299.51
						\$0.00	\$0.00	\$3,350.78	\$3,350.78	\$335.10	\$335.10
						\$0.00	\$0.00	\$129,732.47	\$129,732.47	\$12,807.63	\$12,807.63

Reg Rep Total:

Marketer Total:

NEUBERGER BERMAN

**CHARLES DICCIANNI
COMPENSATION REPORT
August-07**

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION	15,275.92
ADD: 1Q07 Tier Adjustment	0.00
TOTAL	<u>15,275.92</u>

EARNINGS CODE/RSU BREAKDOWN:

CASH - C N B	14,348.02
RSU - C N 5	927.90
CASH - C N A	0.00
RSU - C D 5	0.00

Employee Name	Prod Month	RSU Amount
Diccianni, Charles	12/1/2006	2,661.50
	1/31/2007	851.39
	2/28/2007	1,753.44
	3/31/2007	1,379.00
	4/1/2007	1,729.16
	5/1/2007	7,365.25
	6/1/2007	3,551.57
	7/1/2007	546.26
	8/1/2007	927.90
Diccianni, Charles Total		20,765.47

Compensation Report

NEUBERGER BERMAN

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Exhibit A (part 3) to Diccianni Affidavit Pg 28 of 55

Month End : Aug-2007

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
223	✓	SCHWARTZ NEW YORK	777 00898	CITY OF BRISTOL EMPLOYEE	07/01/2006	99	10.00%	\$0.00	\$0.00	\$16,515.59	\$1,651.56
								\$16,515.59	\$16,515.59	\$1,651.56	\$1,651.56
251 FIXED INCOME/NY											
✓	551 13224	SAMUEL S BERGAMI JR &	09/30/2001	99	10.00%	\$0.00	\$0.00	\$1,719.73	\$1,719.73	\$171.97	\$171.97
✓	777 00685	MILFORD HOSPITAL PENSION PLAN	01/25/2006	99	10.00%	\$0.00	\$0.00	\$3,766.90	\$3,766.90	\$376.69	\$376.69
✓	777 00924	MILFORD BANK PENSION PLAN	08/01/2006	99	10.00%	\$0.00	\$0.00	\$884.41	\$884.41	\$88.44	\$88.44
	777 1009	Stamford	777 00417	Meriden				\$6,371.04	\$6,371.04	\$637.10	\$637.10
288 APPEL NEW YORK											
✓	550 01688	MILFORD HOSPITAL MID CAP	01/01/2006	99	10.00%	\$0.00	\$0.00	\$2,482.51	\$2,482.51	\$248.25	\$248.25
✓	550 02759	ARCHDIOCESAN PENSION PLAN	01/31/2007	01	30.00%	\$0.00	\$0.00	\$14,180.98	\$14,180.98	\$4,254.29	\$4,254.29
	550 02759	ARCHDIOCESAN PENSION PLAN		XX		\$0.00	\$0.00	\$0.00	\$0.00	(\$2,127.15)	(\$2,127.15)
	550 02760	THE TRUSTEES OF ST PATRICKS	01/31/2007	01	30.00%	\$0.00	\$0.00	\$6,516.29	\$6,516.29	\$1,954.89	\$1,954.89
	550 02760	THE TRUSTEES OF ST PATRICKS		XX		\$0.00	\$0.00	\$0.00	\$0.00	(\$977.45)	(\$977.45)
✓	550 02869	CATHOLIC INDEMNITY INSURANCE	04/24/2007	01	30.00%	\$0.00	\$0.00	\$1,295.90	\$1,295.90	\$388.77	\$388.77
	550 02869	CATHOLIC INDEMNITY INSURANCE		XX		\$0.00	\$0.00	\$0.00	\$0.00	(\$194.39)	(\$194.39)
✓	555 10968	EDWARD SAAD AND	06/23/2006	99	10.00%	\$0.00	\$0.00	\$314.61	\$314.61	\$31.46	\$31.46
✓	777 00224	CITY OF BRISTOL MUNICIPAL EMPL	07/27/2004	99	10.00%	\$0.00	\$0.00	\$29,637.93	\$29,637.93	\$2,963.79	\$2,963.79
✓	777 00365	JBC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	(\$2,184.56)	(\$2,184.56)	(\$218.46)	(\$218.46)
	777 00365	JBC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	(\$397.98)	(\$397.98)	(\$39.80)	(\$39.80)
✓	777 00365	JBC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$1,112.23	\$1,112.23	\$111.22	\$111.22
✓	777 00366	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$397.38	\$397.38	\$39.74	\$39.74
✓	777 00680	MILFORD HOSPITAL PENSION PLAN	01/31/2006	99	10.00%	\$0.00	\$0.00	\$10,906.94	\$10,906.94	\$1,090.69	\$1,090.69
✓	777 00682	MILFORD HOSPITAL PENSION PLAN	02/03/2006	99	10.00%	\$0.00	\$0.00	\$4,127.24	\$4,127.24	\$412.72	\$412.72

Reg Rep Total:

Reg Rep Total:

Compensation Report

PA12

NEUBERGER BERMAN

Month End : Aug-2007

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
288 APPEL NEW YORK											
✓	777 00925	MILFORD BANK PENSION PLAN	08/01/2006	99	10.00%	\$0.00	\$0.00	\$1,144.83	\$1,144.83	\$114.48	\$114.48
✓	777 00926	MILFORD BANK PENSION PLAN	08/01/2006	99	10.00%	\$0.00	\$0.00	\$3,249.77	\$3,249.77	\$324.98	\$324.98
✓	777 20014	F/B/O STEPHEN P CHERNOCK JR	02/06/2004	99	10.00%	\$0.00	\$0.00	\$457.72	\$457.72	\$45.77	\$45.77
✓	777 20017	PAUL M GAGLIARDI REVOC TRUST	02/12/2004	99	10.00%	\$0.00	\$0.00	\$460.62	\$460.62	\$46.06	\$46.06
✓	777 53485	FRANK R MATTHEWS	10/03/2003	99	10.00%	\$0.00	\$0.00	\$486.19	\$486.19	\$48.62	\$48.62
						\$0.00	\$0.00	\$74,188.60	\$74,188.60	\$8,518.48	\$8,518.48
390 KSE GROUP/IN											
✓	777 00362	JBC ASSOCIATES LIMITED	01/20/2005	99	10.00%	\$0.00	\$0.00	\$411.79	\$411.79	\$41.18	\$41.18
✓	777 00367	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$421.63	\$421.63	\$42.16	\$42.16
✓	777 00414	CATHRYN M HOLLINGER	02/28/2005	99	10.00%	\$0.00	\$0.00	\$567.32	\$567.32	\$56.73	\$56.73
✓	777 00445	BARBARA R GOODMAN MARITAL TF	04/05/2005	99	10.00%	\$0.00	\$0.00	(\$104.12)	(\$104.12)	(\$10.41)	(\$10.41)
✓	777 00445	BARBARA R GOODMAN MARITAL TF	04/05/2005	99	10.00%	\$0.00	\$0.00	\$208.23	\$208.23	\$20.82	\$20.82
✓	777 00446	BARBARA R GOODMAN CREDIT	04/05/2005	99	10.00%	\$0.00	\$0.00	(\$582.03)	(\$582.03)	(\$58.20)	(\$58.20)
✓	777 00446	BARBARA R GOODMAN CREDIT	04/05/2005	99	10.00%	\$0.00	\$0.00	\$1,164.07	\$1,164.07	\$116.41	\$116.41
✓	777 00470	AWA FUND	04/19/2005	99	10.00%	\$0.00	\$0.00	\$962.97	\$962.97	\$96.30	\$96.30
✓	777 00563	JOHN E CONELIAS	08/11/2005	99	10.00%	\$0.00	\$0.00	\$1,512.70	\$1,512.70	\$151.27	\$151.27
✓	777 00580	JOHN BLATZ	09/07/2005	99	10.00%	\$0.00	\$0.00	\$791.89	\$791.89	\$79.19	\$79.19
✓	777 00645	MASTER PEN PLAN FOR HOURLY	11/22/2005	99	10.00%	\$0.00	\$0.00	\$611.30	\$611.30	\$61.13	\$61.13
✓	777 00646	MASTER PEN PLAN FOR SALARIED	11/22/2005	99	10.00%	\$0.00	\$0.00	\$1,248.33	\$1,248.33	\$124.83	\$124.83
✓	777 01184	JEFFREY T CAMMANS	10/10/2006	01	30.00%	\$0.00	\$0.00	\$718.59	\$718.59	\$215.58	\$215.58
✓	777 20015	F/B/O STEPHEN P CHERNOCK JR	02/05/2004	99	10.00%	\$0.00	\$0.00	\$505.83	\$505.83	\$50.58	\$50.58
✓	777 20016	PAUL M GAGLIARDI	02/10/2004	99	10.00%	\$0.00	\$0.00	\$774.75	\$774.75	\$77.48	\$77.48

Reg Rep Total:

777 00008

PA12

Compensation Report

NEUBERGER BERMAN

Month End : Aug-2007

Marketer Name	Reg Customer Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
390	✓	KSE GROUP/NY									
		777 53486 FRANK R MATTHEWS	10/02/2003	99	10.00%	\$0.00	\$0.00	\$557.63	\$557.63	\$55.76	\$55.76
		Reg Rep Total:				\$0.00	\$0.00	\$9,770.88	\$9,770.88	\$1,120.81	\$1,120.81
881	✓	M KAMINSKY /NY									
		521 01163 F/B/O EDDIE SITT	08/29/2005	99	10.00%	\$0.00	\$0.00	\$614.83	\$614.83	\$61.48	\$61.48
		Reg Rep Total:				\$0.00	\$0.00	\$614.83	\$614.83	\$61.48	\$61.48
A12	✓	JEFFREY BOLTON									
		550 02168 WMBRH-KENNEDY DUNCAN FUND	06/23/2006	99	10.00%	\$0.00	\$0.00	\$907.27	\$907.27	\$90.73	\$90.73
		550 02175 WMBRH-STURGIS FUND	06/23/2006	99	10.00%	\$0.00	\$0.00	\$538.98	\$538.98	\$53.90	\$53.90
		550 02176 WMBRH-HOME HEALTH EDUCATION	06/23/2006	99	10.00%	\$0.00	\$0.00	\$176.02	\$176.02	\$17.60	\$17.60
		Reg Rep Total:				\$0.00	\$0.00	\$1,622.27	\$1,622.27	\$162.23	\$162.23
B73	✓	INTERNATIONAL EQUITY / NEW YORK									
		777 00378 CITY OF BRISTOL GENERAL	01/19/2005	99	10.00%	\$0.00	\$0.00	\$22,192.44	\$22,192.44	\$2,219.24	\$2,219.24
		777 00683 MILFORD HOSPITAL PENSION PLAN	01/25/2006	99	10.00%	\$0.00	\$0.00	\$5,561.68	\$5,561.68	\$556.17	\$556.17
		Reg Rep Total:				\$0.00	\$0.00	\$27,754.12	\$27,754.12	\$2,775.41	\$2,775.41
I93	✓	PAMAS MUTUAL FUNDS / NEW YORK									
		777 00019 WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	\$493.43	\$493.43	\$49.34	\$49.34
		777 00212 WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	\$2,995.08	\$2,995.08	\$299.51	\$299.51
		Reg Rep Total:				\$0.00	\$0.00	\$3,488.51	\$3,488.51	\$348.85	\$348.85
		Marketer Total:				\$0.00	\$0.00	\$140,325.84	\$140,325.84	\$15,275.92	\$15,275.92

NEUBERGER BERMAN

**CHARLES DICCIANNI
COMPENSATION REPORT
September-07**

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION	49,519.24
ADD: 1Q07 Tier Adjustment	0.00
TOTAL	<u>49,519.24</u>

EARNINGS CODE/RSU BREAKDOWN:

CASH - C N B	43,462.18
RSU - C N 5	6,057.06
CASH - C N A	0.00
RSU - C D 5	0.00

Employee Name	Prod Month	Total RSU
Diccianni, Charles	12/1/2006	2,661.50
	1/31/2007	851.39
	2/28/2007	1,753.44
	3/31/2007	1,379.00
	4/1/2007	1,729.16
	5/1/2007	7,365.25
	6/1/2007	3,551.57
	7/1/2007	546.26
	8/1/2007	927.90
	9/1/2007	6,057.06
Diccianni, Charles Total		26,822.53

Compensation Report

NEUBERGER BERMAN

08-13555-mg

Doc 22717-3

Filed 11/29/11

Entered 11/29/11

11:27:53

Exhibit

Exhibit A (part 3) to Diccianni Affidavit Pg 33 of 55

Month End : Sep-2007

Marketer Reg Customer		Account	Start Date	Tier	Pct	Total	PO Comm	Quarterly	Monthly	PO Fee	Total
Name	Rep Account	Name			Payout	Comm	Amt	Fee	Fee	Amt	Payout
DICCIANNI, CHARLES											
223 SCHWARTZ NEW YORK											
777 00874		CITY OF STAMFORD FIREMEN	05/01/2003	99	10.00%	\$0.00	\$0.00	\$55,784.02	\$55,784.02	\$5,578.40	\$5,578.40
777 00898		CITY OF BRISTOL EMPLOYEE	07/01/2006	99	10.00%	\$0.00	\$0.00	(\$44,422.02)	(\$44,422.02)	(\$4,442.20)	(\$4,442.20)
777 00898		CITY OF BRISTOL EMPLOYEE	07/01/2006	99	10.00%	\$0.00	\$0.00	(\$45,428.28)	(\$45,428.28)	(\$4,542.83)	(\$4,542.83)
777 00898		CITY OF BRISTOL EMPLOYEE	07/01/2006	99	10.00%	\$0.00	\$0.00	(\$33,031.18)	(\$33,031.18)	(\$3,303.12)	(\$3,303.12)
777 00898		CITY OF BRISTOL EMPLOYEE	07/01/2006	99	10.00%	\$0.00	\$0.00	\$33,011.80	\$33,011.80	\$3,301.18	\$3,301.18
777 00898		CITY OF BRISTOL EMPLOYEE	07/01/2006	99	10.00%	\$0.00	\$0.00	\$33,176.21	\$33,176.21	\$3,317.62	\$3,317.62
777 00898		CITY OF BRISTOL EMPLOYEE	07/01/2006	99	10.00%	\$0.00	\$0.00	\$44,422.02	\$44,422.02	\$4,442.20	\$4,442.20
777 00898		CITY OF BRISTOL EMPLOYEE	07/01/2006	99	10.00%	\$0.00	\$0.00	\$45,428.28	\$45,428.28	\$4,542.83	\$4,542.83
777 00898		CITY OF BRISTOL EMPLOYEE	07/01/2006	99	10.00%	\$0.00	\$0.00	\$49,546.77	\$49,546.77	\$4,954.68	\$4,954.68
777 00898		CITY OF BRISTOL EMPLOYEE	07/01/2006	99	10.00%	\$0.00	\$0.00	(\$32,664.91)	(\$32,664.91)	(\$3,266.49)	(\$3,266.49)
777 00898		CITY OF BRISTOL EMPLOYEE	07/01/2006	99	10.00%	\$0.00	\$0.00	(\$33,176.21)	(\$33,176.21)	(\$3,317.62)	(\$3,317.62)
777 02056		CITY OF MILFORD EMPLOYEES	05/07/2007	01	30.00%	\$0.00	\$0.00	\$77,135.83	\$77,135.83	\$23,140.75	\$23,140.75
Reg Rep Total:						\$0.00	\$0.00	\$149,782.33	\$149,782.33	\$30,405.40	\$30,405.40
251 FIXED INCOME/NY											
551 13224		SAMUEL S BERGAMI JR &	09/30/2001	99	10.00%	\$0.00	\$0.00	\$1,719.73	\$1,719.73	\$171.97	\$171.97
777 00417		MERIDEN CITY EMPLOYEES	02/28/2005	99	10.00%	\$0.00	\$0.00	\$3,538.19	\$3,538.19	\$353.82	\$353.82
777 00418		MERIDEN POLICE & FIRE	02/28/2005	99	10.00%	\$0.00	\$0.00	\$3,833.47	\$3,833.47	\$383.35	\$383.35
777 00685		MILFORD HOSPITAL PENSION PLAN	01/25/2006	99	10.00%	\$0.00	\$0.00	\$3,766.90	\$3,766.90	\$376.69	\$376.69
777 00924		MILFORD BANK PENSION PLAN	08/01/2006	99	10.00%	\$0.00	\$0.00	\$442.20	\$442.20	\$44.22	\$44.22
777 11009		STAMFORD FIREFIGHTERS PENSIO	05/01/2003	99	7.50%	\$0.00	\$0.00	\$20,426.54	\$20,426.54	\$1,531.99	\$1,531.99
Reg Rep Total:						\$0.00	\$0.00	\$33,727.03	\$33,727.03	\$2,862.04	\$2,862.04
288 APPEL NEW YORK											
550 01688		MILFORD HOSPITAL MID CAP	01/01/2006	99	10.00%	\$0.00	\$0.00	\$2,482.51	\$2,482.51	\$248.25	\$248.25

Compensation Report**NEUBERGER BERMAN**

08-13555-mg

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Exhibit

Exhibit A (part 3) to Diccianni Affidavit Pg 34 of 55

Month End : Sep-2007

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
288 APPEL NEW YORK											
550 02759		ARCHDIOCESAN PENSION PLAN	01/31/2007	01	30.00%	\$0.00	\$0.00	(\$28,361.97)	(\$28,361.97)	(\$8,508.59)	(\$8,508.59)
550 02759		ARCHDIOCESAN PENSION PLAN	01/31/2007	01	30.00%	\$0.00	\$0.00	\$42,542.95	\$42,542.95	\$12,762.88	\$12,762.88
550 02759		ARCHDIOCESAN PENSION PLAN		XX		\$0.00	\$0.00	\$0.00	\$0.00	(\$2,127.15)	(\$2,127.15)
550 02760		THE TRUSTEES OF ST PATRICKS	01/31/2007	01	30.00%	\$0.00	\$0.00	(\$13,032.58)	(\$13,032.58)	(\$3,909.77)	(\$3,909.77)
550 02760		THE TRUSTEES OF ST PATRICKS	01/31/2007	01	30.00%	\$0.00	\$0.00	\$19,548.87	\$19,548.87	\$5,864.66	\$5,864.66
550 02760		THE TRUSTEES OF ST PATRICKS		XX		\$0.00	\$0.00	\$0.00	\$0.00	(\$977.54)	(\$977.54)
550 02869		CATHOLIC INDEMNITY INSURANCE	04/24/2007	01	30.00%	\$0.00	\$0.00	(\$2,591.79)	(\$2,591.79)	(\$777.54)	(\$777.54)
550 02869		CATHOLIC INDEMNITY INSURANCE	04/24/2007	01	30.00%	\$0.00	\$0.00	\$3,887.69	\$3,887.69	\$1,166.31	\$1,166.31
550 02869		CATHOLIC INDEMNITY INSURANCE		XX		\$0.00	\$0.00	\$0.00	\$0.00	(\$194.39)	(\$194.39)
555 10968		EDWARD SAAD AND	06/23/2006	99	10.00%	\$0.00	\$0.00	\$314.61	\$314.61	\$31.46	\$31.46
777 00008		CITY OF MILFORD PENSION FUND	07/01/2004	99	10.00%	\$0.00	\$0.00	\$27,338.76	\$27,338.76	\$2,733.88	\$2,733.88
777 00224		CITY OF BRISTOL MUNICIPAL EMPL	07/27/2004	99	10.00%	\$0.00	\$0.00	\$29,637.93	\$29,637.93	\$2,963.79	\$2,963.79
777 00365		JBC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$1,193.95	\$1,193.95	\$119.40	\$119.40
777 00366		CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$397.38	\$397.38	\$39.74	\$39.74
777 00680		MILFORD HOSPITAL PENSION PLAN	01/31/2006	99	10.00%	\$0.00	\$0.00	\$10,906.94	\$10,906.94	\$1,090.69	\$1,090.69
777 00682		MILFORD HOSPITAL PENSION PLAN	02/03/2006	99	10.00%	\$0.00	\$0.00	\$4,127.24	\$4,127.24	\$412.72	\$412.72
777 00925		MILFORD BANK PENSION PLAN	08/01/2006	99	10.00%	\$0.00	\$0.00	\$572.42	\$572.42	\$57.24	\$57.24
777 00926		MILFORD BANK PENSION PLAN	08/01/2006	99	10.00%	\$0.00	\$0.00	\$1,624.89	\$1,624.89	\$162.49	\$162.49
777 20014		F/B/O STEPHEN P CHERNOCK JR	02/06/2004	99	10.00%	\$0.00	\$0.00	\$457.72	\$457.72	\$45.77	\$45.77
777 20017		PAUL M GAGLIARDI REVOC TRUST	02/12/2004	99	10.00%	\$0.00	\$0.00	\$460.62	\$460.62	\$46.06	\$46.06
777 53485		FRANK R MATTHEWS	10/03/2003	99	10.00%	\$0.00	\$0.00	\$486.19	\$486.19	\$48.62	\$48.62
Reg Rep Total:						\$3.00	\$0.00	\$101,994.33	\$101,994.33	\$11,298.98	\$11,298.98

Compensation Report**NEUBERGER BERMAN****Month End : Sep-2007****PA12**

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
390 KSE GROUP/NY											
777 00362	JBC ASSOCIATES LIMITED		01/20/2005	99	10.00%	\$0.00	\$0.00	\$411.79	\$411.79	\$41.18	\$41.18
777 00367	CSC ASSOCIATES LIMITED		01/19/2005	99	10.00%	\$0.00	\$0.00	\$421.63	\$421.63	\$42.16	\$42.16
777 00414	CATHRYN M HOLLINGER		02/28/2005	99	10.00%	\$0.00	\$0.00	\$567.32	\$567.32	\$56.73	\$56.73
777 00445	BARBARA R GOODMAN MARITAL TF		04/05/2005	99	10.00%	\$0.00	\$0.00	\$104.12	\$104.12	\$10.41	\$10.41
777 00446	BARBARA R GOODMAN CREDIT		04/05/2005	99	10.00%	\$0.00	\$0.00	\$582.03	\$582.03	\$58.20	\$58.20
777 00470	AWA FUND		04/19/2005	99	10.00%	\$0.00	\$0.00	\$962.97	\$962.97	\$96.30	\$96.30
777 00563	JOHN E CONELIAS		08/11/2005	99	10.00%	\$0.00	\$0.00	\$1,512.70	\$1,512.70	\$151.27	\$151.27
777 00580	JOHN BLATZ		09/07/2005	99	10.00%	\$0.00	\$0.00	\$791.89	\$791.89	\$79.19	\$79.19
777 00645	MASTER PEN PLAN FOR HOURLY		11/22/2005	99	10.00%	\$0.00	\$0.00	\$611.30	\$611.30	\$61.13	\$61.13
777 00646	MASTER PEN PLAN FOR SALARIED		11/22/2005	99	10.00%	\$0.00	\$0.00	\$1,246.33	\$1,246.33	\$124.83	\$124.83
777 01184	JEFFREY T CAMMANS		10/10/2006	01	30.00%	\$0.00	\$0.00	\$718.59	\$718.59	\$215.58	\$215.58
777 20015	F/B/O STEPHEN P CHERNOCK JR		02/05/2004	99	10.00%	\$0.00	\$0.00	\$505.83	\$505.83	\$50.58	\$50.58
777 20016	PAUL M GAGLIARDI		02/10/2004	99	10.00%	\$0.00	\$0.00	\$774.75	\$774.75	\$77.48	\$77.48
777 53486	FRANK R MATTHEWS		10/02/2003	99	10.00%	\$0.00	\$0.00	\$557.63	\$557.63	\$55.76	\$55.76
Reg Rep Total:						\$0.00	\$0.00	\$9,770.88	\$9,770.88	\$1,120.80	\$1,120.80
881 M KAMINSKY /NY											
521 01163	F/B/O EDDIE SITT		08/29/2005	99	10.00%	\$0.00	\$0.00	\$614.83	\$614.83	\$61.48	\$61.48
Reg Rep Total:						\$0.00	\$0.00	\$614.83	\$614.83	\$61.48	\$61.48
A12 JEFFREY BOLTON											
550 02168	WMBRH-KENNEDY DUNCAN FUND		06/23/2006	99	10.00%	\$0.00	\$0.00	\$907.27	\$907.27	\$90.73	\$90.73
550 02175	WMBRH-STURGIS FUND		06/23/2006	99	10.00%	\$0.00	\$0.00	\$538.98	\$538.98	\$53.90	\$53.90

Compensation Report

PA12

NEUBERGER BERMAN

Month End : Sep-2007

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
A12 JEFFREY BOLTON											
	550 02176	WMBRH-HOME HEALTH EDUCATION	06/23/2006	99	10.00%	\$0.00	\$0.00	\$176.02	\$176.02	\$17.60	\$17.60
Reg Rep Total:						\$0.00	\$0.00	\$1,622.27	\$1,622.27	\$162.23	\$162.23
B73 INTERNATIONAL EQUITY / NEW YORK											
	555 02151	MILFORD HOSPITAL INC	09/07/2001	99	10.00%	\$0.00	\$0.00	\$4,839.83	\$4,839.83	\$483.98	\$483.98
	777 00378	CITY OF BRISTOL GENERAL	01/19/2005	99	10.00%	\$0.00	\$0.00	\$22,192.44	\$22,192.44	\$2,219.24	\$2,219.24
	777 00683	MILFORD HOSPITAL PENSION PLAN	01/25/2006	99	10.00%	\$0.00	\$0.00	\$5,561.68	\$5,561.68	\$556.17	\$556.17
Reg Rep Total:						\$0.00	\$0.00	\$32,593.95	\$32,593.95	\$3,259.39	\$3,259.39
I93 PAMAS MUTUAL FUNDS / NEW YORK											
	777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	\$493.43	\$493.43	\$49.34	\$49.34
	777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	\$2,995.08	\$2,995.08	\$299.51	\$299.51
Reg Rep Total:						\$0.00	\$0.00	\$3,488.51	\$3,488.51	\$348.85	\$348.85
Marketer Total:						\$0.00	\$0.00	\$333,594.13	\$333,594.13	\$49,519.17	\$49,519.17

NEUBERGER BERMAN

**CHARLES DICCIANNI
COMPENSATION REPORT
November-07**

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION	76,229.67
ADD: 1Q07 Tier Adjustment	0.00
TOTAL	<u>76,229.67</u>

EARNINGS CODE/RSU BREAKDOWN:

CASH - C N B	65,947.12
RSU - C N 5	10,282.55
CASH - C N A	0.00
RSU - C D 5	0.00

2007 RSU Statements

Employee Name	Prod Month	Total RSU
Diccianni, Charles	12/1/2006	2,661.50
	1/31/2007	851.39
	2/28/2007	1,753.44
	3/31/2007	1,379.00
	4/1/2007	1,729.16
	5/1/2007	7,365.25
	6/1/2007	3,551.57
	7/1/2007	546.26
	8/1/2007	927.90
	9/1/2007	6,057.06
	10/1/2007	(45.31)
	11/1/2007	10,282.55
Diccianni, Charles Total		37,059.77

PA12

Mossy New Line
Compensation Report

NEUBERGER BERMAN

Month End : Nov-2007

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
223 SCHWARTZ NEW YORK											
✓	777 00874	CITY OF STAMFORD FIREMEN	05/01/2003	99	10.00%	\$0.00	\$0.00	\$30,330.55	\$30,330.55	\$3,033.06	\$3,033.06
✓	777 00898	CITY OF BRISTOL EMPLOYEE	07/01/2006	99	10.00%	\$0.00	\$0.00	\$33,323.70	\$33,323.70	\$3,332.37	\$3,332.37
✓	777 02056	CITY OF MILFORD EMPLOYEES	05/07/2007	01	30.00%	\$0.00	\$0.00	\$44,289.69	\$44,289.69	\$13,286.91	\$13,286.91
Reg Rep Total:						\$0.00	\$0.00	\$107,943.94	\$107,943.94	\$19,652.34	\$19,652.34
251 FIXED INCOME/NY											
✓	551 13224	SAMUEL S BERGAMI JR &	09/30/2001	99	10.00%	\$0.00	\$0.00	\$1,756.05	\$1,756.05	\$175.61	\$175.61
✓	777 00417	MERIDEN CITY EMPLOYEES	02/28/2005	99	10.00%	\$0.00	\$0.00	\$2,423.80	\$2,423.80	\$242.38	\$242.38
✓	777 00418	MERIDEN POLICE & FIRE	02/28/2005	99	10.00%	\$0.00	\$0.00	\$2,627.25	\$2,627.25	\$262.73	\$262.73
✓	777 00685	MILFORD HOSPITAL PENSION PLAN	01/25/2006	99	10.00%	\$0.00	\$0.00	\$3,867.42	\$3,867.42	\$386.74	\$386.74
✓	777 00924	MILFORD BANK PENSION PLAN	08/01/2006	99	10.00%	\$0.00	\$0.00	\$625.00	\$625.00	\$62.50	\$62.50
✓	777 11009	STAMFORD FIREFIGHTERS PENSIO	05/01/2003	99	7.50%	\$0.00	\$0.00	\$13,999.74	\$13,999.74	\$1,049.98	\$1,049.98
Reg Rep Total:						\$0.00	\$0.00	\$25,299.26	\$25,299.26	\$2,179.94	\$2,179.94
288 APPEL NEW YORK											
✓	550 01688	MILFORD HOSPITAL MID CAP	01/01/2006	99	10.00%	\$0.00	\$0.00	\$2,634.75	\$2,634.75	\$263.48	\$263.48
✓	550 02759	ARCHDIOCESAN PENSION PLAN	01/31/2007	01	30.00%	\$0.00	\$0.00	\$28,758.01	\$28,758.01	\$8,627.40	\$8,627.40
✓	550 02759	ARCHDIOCESAN PENSION PLAN		XX		\$0.00	\$0.00	\$0.00	\$0.00	(\$4,313.70)	(\$4,313.70)
✓	550 02760	THE TRUSTEES OF ST PATRICKS	01/31/2007	01	30.00%	\$0.00	\$0.00	\$13,179.36	\$13,179.36	\$3,953.81	\$3,953.81
✓	550 02760	THE TRUSTEES OF ST PATRICKS		XX		\$0.00	\$0.00	\$0.00	\$0.00	(\$1,976.91)	(\$1,976.91)
✓	550 02869	CATHOLIC INDEMNITY INSURANCE	04/24/2007	01	30.00%	\$0.00	\$0.00	\$2,040.25	\$2,040.25	\$612.07	\$612.07
✓	550 02869	CATHOLIC INDEMNITY INSURANCE		XX		\$0.00	\$0.00	\$0.00	\$0.00	(\$306.04)	(\$306.04)
✓	555 10968	EDWARD SAAD AND	06/23/2006	99	10.00%	\$0.00	\$0.00	\$329.50	\$329.50	\$32.95	\$32.95
✓	777 00008	CITY OF MILFORD PENSION FUND	07/01/2004	99	10.00%	\$0.00	\$0.00	\$9,100.67	\$9,100.67	\$910.07	\$910.07
✓	777 00224	CITY OF BRISTOL MUNICIPAL EMPL	07/27/2004	99	10.00%	\$0.00	\$0.00	\$44,109.37	\$44,109.37	\$4,410.94	\$4,410.94

PA12

Compensation Report

NEUBERGER BERMAN

Month End : Nov-2007

Marketer Reg Customer Name	Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
288 APPEL NEW YORK											
✓ 777 00365		JBC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$416.06	\$416.06	\$41.61	\$41.61
✓ 777 00366		CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$415.58	\$415.58	\$41.56	\$41.56
✓ 777 00680		MILFORD HOSPITAL PENSION PLAN	01/31/2006	99	10.00%	\$0.00	\$0.00	\$11,669.39	\$11,669.39	\$1,166.94	\$1,166.94
✓ 777 00682		MILFORD HOSPITAL PENSION PLAN	02/03/2006	99	10.00%	\$0.00	\$0.00	\$4,360.16	\$4,360.16	\$436.02	\$436.02
✓ 777 00925		MILFORD BANK PENSION PLAN	08/01/2006	99	10.00%	\$0.00	\$0.00	\$263.93	\$263.93	\$26.39	\$26.39
✓ 777 00926		MILFORD BANK PENSION PLAN	08/01/2006	99	10.00%	\$0.00	\$0.00	\$1,904.27	\$1,904.27	\$190.43	\$190.43
✓ 777 20014		F/B/O STEPHEN P CHERNOCK JR	02/06/2004	99	10.00%	\$0.00	\$0.00	\$476.31	\$476.31	\$47.63	\$47.63
✓ 777 20017		PAUL M GAGLIARDI REVOC TRUST	02/12/2004	99	10.00%	\$0.00	\$0.00	\$488.92	\$488.92	\$48.89	\$48.89
✓ 777 53485		FRANK R MATTHEWS	10/03/2003	99	10.00%	\$0.00	\$0.00	\$514.79	\$514.79	\$51.48	\$51.48
Reg Rep Total:						\$0.00	\$0.00	\$120,661.32	\$120,661.32	\$14,265.02	\$14,265.02
390 KSE GROUP/IN											
✓ 777 00362		JBC ASSOCIATES LIMITED	01/20/2005	99	10.00%	\$0.00	\$0.00	\$425.74	\$425.74	\$42.57	\$42.57
✓ 777 00367		CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$435.76	\$435.76	\$43.58	\$43.58
✓ 777 00414		CATHRYN M HOLLINGER	02/28/2005	99	10.00%	\$0.00	\$0.00	\$582.25	\$582.25	\$58.23	\$58.23
✓ 777 00445		BARBARA R GOODMAN MARITAL TF	04/05/2005	99	10.00%	\$0.00	\$0.00	\$106.24	\$106.24	\$10.62	\$10.62
✓ 777 00446		BARBARA R GOODMAN CREDIT	04/05/2005	99	10.00%	\$0.00	\$0.00	\$594.54	\$594.54	\$59.45	\$59.45
✓ 777 00470		AWA FUND	04/19/2005	99	10.00%	\$0.00	\$0.00	(\$996.76)	(\$996.76)	(\$99.68)	(\$99.68)
✓ 777 00470		AWA FUND	04/19/2005	99	10.00%	\$0.00	\$0.00	\$1,993.53	\$1,993.53	\$199.35	\$199.35
✓ 777 00563		JOHN E CONELIAS	08/11/2005	99	10.00%	\$0.00	\$0.00	\$1,563.69	\$1,563.69	\$156.37	\$156.37
✓ 777 00580		JOHN BLATZ	09/07/2005	99	10.00%	\$0.00	\$0.00	\$818.15	\$818.15	\$81.82	\$81.82
✓ 777 00645		MASTER PEN PLAN FOR HOURLY	11/22/2005	99	10.00%	\$0.00	\$0.00	\$637.23	\$637.23	\$63.72	\$63.72
✓ 777 00646		MASTER PEN PLAN FOR SALARIED	11/22/2005	99	10.00%	\$0.00	\$0.00	\$1,290.37	\$1,290.37	\$129.04	\$129.04
✓ 777 01184		JEFFREY T CAMMANS	10/10/2006	99	10.00%	\$0.00	\$0.00	\$745.34	\$745.34	\$74.53	\$74.53

55 02/11/08

Compensation Report

PA12

NEUBERGER BERMAN

Month End : Nov-2007

Marketer Name	Reg Customer Name	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
390 KSE GROUP/IN											
✓ 777 20015	F/B/O STEPHEN P CHERNOCK JR		02/05/2004	99	10.00%	\$0.00	\$0.00	\$522.85	\$522.85	\$52.29	\$52.29
✓ 777 20016	PAUL M GAGLIARDI		02/10/2004	99	10.00%	\$0.00	\$0.00	\$800.84	\$800.84	\$80.08	\$80.08
✓ 777 53486	FRANK R MATTHEWS		10/02/2003	99	10.00%	\$0.00	\$0.00	\$557.59	\$557.59	\$55.76	\$55.76
Reg Rep Total:						\$0.00	\$0.00	\$10,077.36	\$10,077.36	\$1,007.73	\$1,007.73
881 M KAMINSKY /NY											
✓ 521 01163	F/B/O EDDIE SITT		08/29/2005	99	10.00%	\$0.00	\$0.00	\$674.95	\$674.95	\$67.50	\$67.50
Reg Rep Total:						\$0.00	\$0.00	\$674.95	\$674.95	\$67.50	\$67.50
907 GIULIANO / LIPPER											
✓ 777 01969	CITY OF BRISTOL - HIGH YIELD		06/08/1932	99	5.00%	\$0.00	\$0.00	\$180.43	\$180.43	\$9.02	\$9.02
Reg Rep Total:						\$0.00	\$0.00	\$180.43	\$180.43	\$9.02	\$9.02
933 F.I. INTERMEDIATE											
✓ 777 00673	PARISH CEMETERY FIELD EMPLOY		06/08/1932	99	5.00%	\$0.00	\$0.00	\$115.27	\$115.27	\$5.76	\$5.76
✓ 777 01968	CITY OF BRISTOL - DOMESTIC		06/08/1932	99	5.00%	\$0.00	\$0.00	\$4,382.44	\$4,382.44	\$219.12	\$219.12
Reg Rep Total:						\$0.00	\$0.00	\$4,497.71	\$4,497.71	\$224.88	\$224.88
934 F.I. BOND MARKET											
✓ 777 00569	ST LABRE RESTRICTED FUND FIXE		06/08/1932	99	5.00%	\$0.00	\$0.00	\$173.35	\$173.35	\$8.67	\$8.67
✓ 777 01298	AMERICAN COLLEGE OF PHYSICIAN		10/01/1996	99	5.00%	\$0.00	\$0.00	\$28.77	\$28.77	\$1.44	\$1.44
✓ 777 01665	WHITNEY GENL RESERVE FIXED		07/01/1999	99	5.00%	\$0.00	\$0.00	\$510.93	\$510.93	\$25.55	\$25.55
✓ 777 01671	WHITNEY OPERATING FIXED		07/01/1999	99	5.00%	\$0.00	\$0.00	\$85.25	\$85.25	\$4.26	\$4.26
✓ 777 01853	ST LABRE ANNUITY RESERVE		10/01/2000	99	5.00%	\$0.00	\$0.00	\$337.48	\$337.48	\$16.87	\$16.87
✓ 777 01854	ST LABRE CALIFORNIA ANNUITY		10/01/2000	99	5.00%	\$0.00	\$0.00	\$59.77	\$59.77	\$2.99	\$2.99
Reg Rep Total:						\$0.00	\$0.00	\$1,195.55	\$1,195.55	\$59.78	\$59.78

PA12

Compensation Report

NEUBERGER BERMAN

Month End : Nov-2007

Marketer Reg Customer Name	Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
937	F.I. GLOBAL										
✓	777 01970	CITY OF BRISTOL-INTERNATIONAL	06/08/1932	99	5.00%	\$0.00	\$0.00	\$398.92	\$398.92	\$19.95	\$19.95
Reg Rep Total:											
A02	SCHWARTZ/STRAUS-NAT										
✓	555 02382	WHX PENSION PLAN (MCS)	04/01/1999	99	5.00%	\$0.00	\$0.00	\$26,573.49	\$26,573.49	\$1,328.67	\$1,328.67
Reg Rep Total:											
A07	ROBERT J. APPEL-NAT										
✓	555 02392	AMERICAN COLLEGE OF PHYSICIAN	01/20/1995	99	5.00%	\$0.00	\$0.00	\$2,998.80	\$2,998.80	\$149.94	\$149.94
✓	555 32655	AMERICAN COLLEGE OF PHYSICIAN	09/01/1996	99	5.00%	\$0.00	\$0.00	\$4,994.65	\$4,994.65	\$249.73	\$249.73
✓	555 32657	AMERICAN COLLEGE OF PHYSICIAN	10/01/1996	99	5.00%	\$0.00	\$0.00	\$148.97	\$148.97	\$7.45	\$7.45
✓	777 00012	MILFORD PENSION TRUST	06/08/1932	99	5.00%	\$0.00	\$0.00	\$11,126.70	\$11,126.70	\$556.34	\$556.34
✓	777 00062	CITY OF BRISTOL POLICE & FIRE	06/08/1932	99	5.00%	\$0.00	\$0.00	\$16,534.08	\$16,534.08	\$826.70	\$826.70
✓	777 00073	CITY OF MERIDEN POLICE &	06/08/1932	99	5.00%	\$0.00	\$0.00	\$1,976.28	\$1,976.28	\$98.81	\$98.81
✓	777 00734	CITY OF MERIDEN EMPLOYEES	11/01/1994	99	5.00%	\$0.00	\$0.00	\$3,430.66	\$3,430.66	\$171.53	\$171.53
✓	777 01223	STAMFORD FIREMEN'S MID CAP	03/01/1996	99	5.00%	\$0.00	\$0.00	\$1,479.76	\$1,479.76	\$73.99	\$73.99
✓	777 01224	STAMFORD FIREMEN'S LARGE CAP	03/01/1996	99	5.00%	\$0.00	\$0.00	\$2,946.76	\$2,946.76	\$147.34	\$147.34
✓	777 01662	WHITNEY GENL RES LARGE CAP	07/01/1999	99	5.00%	\$0.00	\$0.00	\$1,359.62	\$1,359.62	\$67.98	\$67.98
✓	777 01664	WHITNEY GENL RES (MID CAP)	07/01/1999	99	5.00%	\$0.00	\$0.00	\$477.10	\$477.10	\$23.86	\$23.86
✓	777 01668	WHITNEY OPERATING LARGE CAP	07/01/1999	99	5.00%	\$0.00	\$0.00	\$245.64	\$245.64	\$12.28	\$12.28
✓	777 01670	WHITNEY OPERATING (MID CAP)	07/01/1999	99	5.00%	\$0.00	\$0.00	\$81.01	\$81.01	\$4.05	\$4.05
Reg Rep Total:						\$0.00	\$0.00	\$47,800.03	\$47,800.03	\$2,390.00	\$2,390.00

5302148
 7/20/08
 7/20/08

PA12

Compensation Report

NEUBERGER BERMAN

Month End : Nov-2007

Marketer Name	Reg Customer Name	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
A09 KAMINSKY & KAMINSKY-JEM											
✓	555 02387	MUELLER BRASS CO RETIREMENT	06/08/1932	99	5.00%	\$0.00	\$0.00	\$13,401.48	\$13,401.48	\$670.07	\$670.07
Reg Rep Total:						\$0.00	\$0.00	\$13,401.48	\$13,401.48	\$670.07	\$670.07
A12 JEFFREY BOLTON											
✓	550 02168	WMBRH-KENNEDY DUNCAN FUND	06/23/2006	99	10.00%	\$0.00	\$0.00	\$1,839.75	\$1,839.75	\$183.98	\$183.98
✓	550 02175	WMBRH-STURGIS FUND	06/23/2006	99	10.00%	\$0.00	\$0.00	\$1,091.70	\$1,091.70	\$109.17	\$109.17
✓	550 02176	WMBRH-HOME HEALTH EDUCATIO	06/23/2006	99	10.00%	\$0.00	\$0.00	\$353.51	\$353.51	\$35.35	\$35.35
✓	555 26700	BURKE REHABILITATION HOSPITAL	06/08/1932	99	5.00%	\$0.00	\$0.00	\$1,590.43	\$1,590.43	\$79.52	\$79.52
✓	555 26701	BURKE REHABILITATION CENTER	06/08/1932	99	5.00%	\$0.00	\$0.00	\$2,679.24	\$2,679.24	\$133.96	\$133.96
✓	555 26703	WINIFRED MASTERSON BURKE FDI	06/08/1932	99	5.00%	\$0.00	\$0.00	\$2,653.18	\$2,653.18	\$132.66	\$132.66
✓	555 26705	PARISH CEMETERY FIELD EMPLOY	06/08/1932	99	5.00%	\$0.00	\$0.00	\$691.58	\$691.58	\$34.58	\$34.58
Reg Rep Total:						\$0.00	\$0.00	\$10,899.39	\$10,899.39	\$709.22	\$709.22
A21 HAROLD J. NEWMAN-NA											
✓	555 02401	FOLEY INC EMPLOYEES PEN PLAN	06/08/1932	99	5.00%	\$0.00	\$0.00	\$2,921.19	\$2,921.19	\$146.06	\$146.06
Reg Rep Total:						\$0.00	\$0.00	\$2,921.19	\$2,921.19	\$146.06	\$146.06
A69 MORETTI - SRI											
✓	555 02182	ST LABRE RESTRICTED FUND	06/08/1932	99	5.00%	\$0.00	\$0.00	\$3,291.74	\$3,291.74	\$164.59	\$164.59
Reg Rep Total:						\$0.00	\$0.00	\$3,291.74	\$3,291.74	\$164.59	\$164.59
B73 INTERNATIONAL EQUITY / NEW YOR											
✓	777 00378	CITY OF BRISTOL GENERAL	01/19/2005	99	10.00%	\$0.00	\$0.00	\$35,609.41	\$35,609.41	\$3,560.94	\$3,560.94
✓	777 00683	MILFORD HOSPITAL PENSION PLAN	01/25/2006	99	10.00%	\$0.00	\$0.00	\$5,517.53	\$5,517.53	\$551.75	\$551.75
Reg Rep Total:						\$0.00	\$0.00	\$41,126.94	\$41,126.94	\$4,112.69	\$4,112.69

27702012 Milford Hospital Fund
 55502151 Milford Hospital
 55502151

Compensation Report

NEUBERGER BERMAN

08-13555-mg

Doc 22717-3

Filed 11/29/11

Entered 11/29/11 11:27:53

Exhibit

Exhibit A (part 3) to Diccianni Affidavit Pg 44 of 55

Month End : Nov-2007

Marketer Name	Reg Customer Name	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
I93 PAMAS MUTUAL FUNDS / NEW YORK											
✓	777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	\$982.53	\$982.53	\$98.25	\$98.25
✓	777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	\$5,553.91	\$5,553.91	\$555.39	\$555.39
Reg Rep Total:						\$0.00	\$0.00	\$6,536.44	\$6,536.44	\$653.64	\$653.64
W30 FIXED INCOME/DICCIANNI											
✓	777 00569	ST LABRE RESTRICTED FUND FIVE	06/08/1932	99	5.00%	\$0.00	\$0.00	\$866.73	\$866.73	\$43.34	\$43.34
✓	777 00673	PARISH CEMETERY FIELD EMPLOY	06/08/1932	99	5.00%	\$0.00	\$0.00	\$576.35	\$576.35	\$28.82	\$28.82
✓	777 01298	AMERICAN COLLEGE OF PHYSICIAN	10/01/1996	99	5.00%	\$0.00	\$0.00	\$143.86	\$143.86	\$7.19	\$7.19
✓	777 01665	WHITNEY GENL RESERVE FIXED	07/01/1999	99	5.00%	\$0.00	\$0.00	\$2,554.63	\$2,554.63	\$127.73	\$127.73
✓	777 01671	WHITNEY OPERATING FIXED	07/01/1999	99	5.00%	\$0.00	\$0.00	\$426.28	\$426.28	\$21.31	\$21.31
✓	777 01853	ST LABRE ANNUITY RESERVE	10/01/2000	99	5.00%	\$0.00	\$0.00	\$1,687.40	\$1,687.40	\$84.37	\$84.37
✓	777 01854	ST LABRE CALIFORNIA ANNUITY	10/01/2000	99	5.00%	\$0.00	\$0.00	\$298.87	\$298.87	\$14.94	\$14.94
✓	777 01968	CITY OF BRISTOL - DOMESTIC	06/08/1932	99	5.00%	\$0.00	\$0.00	\$21,912.18	\$21,912.18	\$1,095.61	\$1,095.61
✓	777 01969	CITY OF BRISTOL - HIGH YIELD	06/08/1932	99	5.00%	\$0.00	\$0.00	\$902.13	\$902.13	\$45.11	\$45.11
✓	777 01970	CITY OF BRISTOL-INTERNATIONAL	06/08/1932	99	5.00%	\$0.00	\$0.00	\$1,994.59	\$1,994.59	\$99.73	\$99.73
Reg Rep Total:						\$0.00	\$0.00	\$31,363.02	\$31,363.02	\$1,568.15	\$1,568.15
W36 APPEL/DICCIANNI											
✓	555 02151	MILFORD HOSPITAL INC	09/07/2001	99	10.00%	\$0.00	\$0.00	\$4,753.78	\$4,753.78	\$475.38	\$475.38
✓	555 02392	AMERICAN COLLEGE OF PHYSICIAN	01/20/1995	99	5.00%	\$0.00	\$0.00	\$14,994.02	\$14,994.02	\$749.70	\$749.70
✓	555 02404	BABY TOGS INC DEFERRED	06/08/1932	99	5.00%	\$0.00	\$0.00	\$5,728.57	\$5,728.57	\$286.43	\$286.43
✓	555 02406	BABY TOGS SPECIAL DEFINED	06/08/1932	99	5.00%	\$0.00	\$0.00	\$2,822.35	\$2,822.35	\$141.12	\$141.12
✓	555 32655	AMERICAN COLLEGE OF PHYSICIAN	09/01/1996	99	5.00%	\$0.00	\$0.00	\$24,973.23	\$24,973.23	\$1,248.66	\$1,248.66
✓	555 32657	AMERICAN COLLEGE OF PHYSICIAN	10/01/1996	99	5.00%	\$0.00	\$0.00	\$744.85	\$744.85	\$37.24	\$37.24
✓	777 00012	MILFORD PENSION TRUST	06/08/1932	99	5.00%	\$0.00	\$0.00	\$55,633.50	\$55,633.50	\$2,781.68	\$2,781.68

PA12

Compensation Report

NEUBERGER BERMAN

Month End : Nov-2007

Marketer Reg Name	Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
W36 APPEL/DICCIANNI											
✓	777 00062	CITY OF BRISTOL POLICE & FIRE	06/08/1932	99	5.00%	\$0.00	\$0.00	\$82,670.42	\$82,670.42	\$4,133.52	\$4,133.52
✓	777 00073	CITY OF MERIDEN POLICE &	06/08/1932	99	5.00%	\$0.00	\$0.00	\$9,881.38	\$9,881.38	\$494.07	\$494.07
✓	777 00082	CHESHIRE RET EQUITY	06/08/1932	99	5.00%	\$0.00	\$0.00	\$6,343.35	\$6,343.35	\$317.17	\$317.17
✓	777 00734	CITY OF MERIDEN EMPLOYEES	11/01/1994	99	5.00%	\$0.00	\$0.00	\$17,153.28	\$17,153.28	\$857.66	\$857.66
✓	777 01223	STAMFORD FIREMEN'S MID CAP	03/01/1996	99	5.00%	\$0.00	\$0.00	\$7,398.78	\$7,398.78	\$369.94	\$369.94
✓	777 01224	STAMFORD FIREMEN'S LARGE CAP	03/01/1996	99	5.00%	\$0.00	\$0.00	\$14,733.79	\$14,733.79	\$736.69	\$736.69
✓	777 01662	WHITNEY GENL RES LARGE CAP	07/01/1999	99	5.00%	\$0.00	\$0.00	\$6,798.12	\$6,798.12	\$339.91	\$339.91
✓	777 01664	WHITNEY GENL RES (MID CAP)	07/01/1999	99	5.00%	\$0.00	\$0.00	\$2,385.49	\$2,385.49	\$119.27	\$119.27
✓	777 01668	WHITNEY OPERATING LARGE CAP	07/01/1999	99	5.00%	\$0.00	\$0.00	\$1,228.18	\$1,228.18	\$61.41	\$61.41
✓	777 01670	WHITNEY OPERATING (MID CAP)	07/01/1999	99	5.00%	\$0.00	\$0.00	\$405.06	\$405.06	\$20.25	\$20.25
Reg Rep Total:						\$0.00	\$0.00	\$258,648.15	\$258,648.15	\$13,170.10	\$13,170.10
W38 APPEL #2/DICCIANNI											
✓	555 02148	MILFORD HOSPITAL INC	09/07/2001	99	5.00%	\$0.00	\$0.00	\$7,503.87	\$7,503.87	\$375.19	\$375.19
✓	777 02020	MILFORD HOSPITAL FIXED	09/07/2001	99	5.00%	\$0.00	\$0.00	\$2,991.08	\$2,991.08	\$149.55	\$149.55
Reg Rep Total:						\$0.00	\$0.00	\$10,494.95	\$10,494.95	\$524.74	\$524.74
W39 KAMINSKY/DICCIANNI											
✓	555 02387	MUELLER BRASS CO RETIREMENT	06/08/1932	99	5.00%	\$0.00	\$0.00	\$67,007.38	\$67,007.38	\$3,350.37	\$3,350.37
✓	555 02405	BABY TOGG INC DEFERRED	06/08/1932	99	5.00%	\$0.00	\$0.00	\$5,810.55	\$5,810.55	\$290.53	\$290.53
✓	555 30007	BABY TOGS SPECIAL DEFINED	06/08/1932	99	5.00%	\$0.00	\$0.00	\$3,299.86	\$3,299.86	\$164.99	\$164.99
Reg Rep Total:						\$0.00	\$0.00	\$76,117.79	\$76,117.79	\$3,805.89	\$3,805.89
W40 BOLTON/DICCIANNI											
✓	555 26700	BURKE REHABILITATION HOSPITAL	06/08/1932	99	5.00%	\$0.00	\$0.00	\$7,952.14	\$7,952.14	\$397.61	\$397.61

Compensation Report

PA12

NEUBERGER BERMAN

Month End : Nov-2007

Marketer Reg Customer Name	Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
W40 BOLTON/DICCIANNI											
✓	555 26701	BURKE REHABILITATION CENTER	06/08/1932	99	5.00%	\$0.00	\$0.00	\$13,396.18	\$13,396.18	\$669.81	\$669.81
✓	555 26703	WINIFRED MASTERSON BURKE FDI	06/08/1932	99	5.00%	\$0.00	\$0.00	\$13,265.88	\$13,265.88	\$663.29	\$663.29
✓	555 26705	PARISH CEMETERY FIELD EMPLOY	06/08/1932	99	5.00%	\$0.00	\$0.00	\$3,457.88	\$3,457.88	\$172.89	\$172.89
Reg Rep Total:						\$0.00	\$0.00	\$38,072.08	\$38,072.08	\$1,903.60	\$1,903.60
W41 NEWMAN/DICCIANNI											
✓	555 02401	FOLEY INC EMPLOYEES PEN PLAN	06/08/1932	99	5.00%	\$0.00	\$0.00	\$14,605.94	\$14,605.94	\$730.30	\$730.30
Reg Rep Total:						\$0.00	\$0.00	\$14,605.94	\$14,605.94	\$730.30	\$730.30
W43 SRI/DICCIANNI											
✓	555 02182	ST LABRE RESTRICTED FUND	06/08/1932	99	5.00%	\$0.00	\$0.00	\$16,458.68	\$16,458.68	\$822.93	\$822.93
Reg Rep Total:						\$0.00	\$0.00	\$16,458.68	\$16,458.68	\$822.93	\$822.93
W45 SCHWARTZ/DICCIANNI											
✓	555 02382	WHX PENSION PLAN (MCS)	04/01/1999	99	5.00%	(\$12,010.00)	(\$600.50)	\$132,867.45	\$132,867.45	\$6,643.37	\$6,042.87
Reg Rep Total:						(\$12,010.00)	(\$600.50)	\$132,867.45	\$132,867.45	\$6,643.37	\$6,042.87
Marketer Total:						(\$12,010.00)	(\$600.50)	\$1,002,108.20	\$1,002,108.20	\$76,830.18	\$76,229.68

Mr 777 01 348
349
777 01 353

NEUBERGER BERMAN

**CHARLES DICCIANNI
COMPENSATION REPORT
December-07**

PAYOUT COMPUTATION

TOTAL PRODUCTION COMPENSATION	45,892.72
Less: Khanuk/Gonzalez Payment	(1,500.00)
Add:	0.00
TOTAL	<u>44,392.72</u>

EARNINGS CODE/RSU BREAKDOWN:

CASH - C N B	39,165.73
RSU - C N 5	5,226.99
CASH - C N A	0.00
RSU - C D 5	0.00

2008 RSU Statements

Employee Name	Prod Month	Total RSU
Diccianni, Charles	12/1/2007	5,226.99
Diccianni, Charles Total		5,226.99

Compensation Report**NEUBERGER BERMAN****Month End : Dec-2007**

Market Reg Customer Name	Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
223 SCHWARTZ NEW YORK											
551 01337		CITY OF NEW HAVEN EMPLOYEE	12/11/2007	01	30.00%	\$0.00	\$0.00	\$7,306.54	\$7,306.54	\$2,191.96	\$2,191.96
777 00874		CITY OF STAMFORD FIREMEN	05/01/2003	99	10.00%	\$0.00	\$0.00	\$15,165.27	\$15,165.27	\$1,516.53	\$1,516.53
777 00898		CITY OF BRISTOL EMPLOYEE	07/01/2006	99	10.00%	\$0.00	\$0.00	\$16,661.85	\$16,661.85	\$1,666.19	\$1,666.19
777 02056		CITY OF MILFORD EMPLOYEES	05/07/2007	01	30.00%	\$0.00	\$0.00	\$22,144.85	\$22,144.85	\$6,643.45	\$6,643.45
Reg Rep Total:						\$0.00	\$0.00	\$61,278.51	\$61,278.51	\$12,018.13	\$12,018.13
251 FIXED INCOME/NY											
551 13224		SAMUEL S BERGAMI JR &	09/30/2001	99	10.00%	\$0.00	\$0.00	\$1,756.05	\$1,756.05	\$175.61	\$175.61
777 00417		MERIDEN CITY EMPLOYEES	02/28/2005	99	10.00%	\$0.00	\$0.00	\$1,211.90	\$1,211.90	\$121.19	\$121.19
777 00418		MERIDEN POLICE & FIRE	02/28/2005	99	10.00%	\$0.00	\$0.00	\$1,313.62	\$1,313.62	\$131.36	\$131.36
777 00685		MILFORD HOSPITAL PENSION PLAN	01/25/2006	99	10.00%	\$0.00	\$0.00	\$3,867.42	\$3,867.42	\$386.74	\$386.74
777 00924		MILFORD BANK PENSION PLAN	08/01/2006	99	10.00%	\$0.00	\$0.00	\$625.00	\$625.00	\$62.50	\$62.50
777 11009		STAMFORD FIREFIGHTERS PENSIO	05/01/2003	99	7.50%	\$0.00	\$0.00	\$6,999.87	\$6,999.87	\$524.99	\$524.99
Reg Rep Total:						\$0.00	\$0.00	\$15,773.86	\$15,773.86	\$1,402.39	\$1,402.39
288 APPEL NEW YORK											
550 01688		MILFORD HOSPITAL MID CAP	01/01/2006	99	10.00%	\$0.00	\$0.00	\$2,634.75	\$2,634.75	\$263.48	\$263.48
550 02759		ARCHDIOCESAN PENSION PLAN	01/31/2007	01	10.00%	\$0.00	\$0.00	\$14,379.01	\$14,379.01	\$1,437.90	\$1,437.90
550 02760		THE TRUSTEES OF ST PATRICKS	01/31/2007	01	10.00%	\$0.00	\$0.00	\$6,589.68	\$6,589.68	\$658.97	\$658.97
550 02869		CATHOLIC INDEMNITY INSURANCE	04/24/2007	01	10.00%	\$0.00	\$0.00	\$1,020.13	\$1,020.13	\$102.01	\$102.01
551 01338		CITY OF NEW HAVEN EMPLOYEE	12/11/2007	01	30.00%	\$0.00	\$0.00	\$9,950.89	\$9,950.89	\$2,985.27	\$2,985.27
555 10968		EDWARD SAAD AND	06/23/2006	99	10.00%	\$0.00	\$0.00	\$329.50	\$329.50	\$32.95	\$32.95
777 00008		CITY OF MILFORD PENSION FUND	07/01/2004	99	10.00%	\$0.00	\$0.00	\$4,550.34	\$4,550.34	\$455.03	\$455.03
777 00224		CITY OF BRISTOL MUNICIPAL EMPL	07/27/2004	99	10.00%	\$0.00	\$0.00	\$22,054.68	\$22,054.68	\$2,205.47	\$2,205.47
777 00365		JBC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$416.06	\$416.06	\$41.61	\$41.61

Compensation Report

NEUBERGER BERMAN

Month End : Dec-2007

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
DICCIANNI, CHARLES											
288 APPEL NEW YORK											
	777 00366	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$415.58	\$415.58	\$41.56	\$41.56
	777 00680	MILFORD HOSPITAL PENSION PLAN	01/31/2006	99	10.00%	\$0.00	\$0.00	\$11,669.39	\$11,669.39	\$1,166.94	\$1,166.94
	777 00682	MILFORD HOSPITAL PENSION PLAN	02/03/2006	99	10.00%	\$0.00	\$0.00	\$4,360.16	\$4,360.16	\$436.02	\$436.02
	777 00925	MILFORD BANK PENSION PLAN	08/01/2006	99	10.00%	\$0.00	\$0.00	\$263.93	\$263.93	\$26.39	\$26.39
	777 00926	MILFORD BANK PENSION PLAN	08/01/2006	99	10.00%	\$0.00	\$0.00	\$1,904.27	\$1,904.27	\$190.43	\$190.43
	777 20014	F/B/O STEPHEN P CHERNOCK JR	02/06/2004	99	10.00%	\$0.00	\$0.00	\$476.31	\$476.31	\$47.63	\$47.63
	777 20017	PAUL M GAGLIARDI REVOC TRUST	02/12/2004	99	10.00%	\$0.00	\$0.00	\$488.92	\$488.92	\$48.89	\$48.89
	777 53485	FRANK R MATTHEWS	10/03/2003	99	10.00%	\$0.00	\$0.00	\$514.79	\$514.79	\$51.48	\$51.48
	Reg Rep Total:					\$0.00	\$0.00	\$82,018.39	\$82,018.39	\$10,192.03	\$10,192.03
390 KSE GROUP/NY											
	777 00362	JBC ASSOCIATES LIMITED	01/20/2005	99	10.00%	\$0.00	\$0.00	\$425.74	\$425.74	\$42.57	\$42.57
	777 00367	CSC ASSOCIATES LIMITED	01/19/2005	99	10.00%	\$0.00	\$0.00	\$435.76	\$435.76	\$43.58	\$43.58
	777 00414	CATHRYN M HOLINGER	02/28/2005	99	10.00%	\$0.00	\$0.00	\$582.25	\$582.25	\$58.23	\$58.23
	777 00445	BARBARA R GOODMAN MARITAL TR	04/05/2005	99	10.00%	\$0.00	\$0.00	\$106.24	\$106.24	\$10.62	\$10.62
	777 00446	BARBARA R GOODMAN CREDIT	04/05/2005	99	10.00%	\$0.00	\$0.00	\$594.54	\$594.54	\$59.45	\$59.45
	777 00470	AWA FUND	04/19/2005	99	10.00%	\$0.00	\$0.00	\$996.76	\$996.76	\$99.68	\$99.68
	777 00563	JOHN E CONELIAS	08/11/2005	99	10.00%	\$0.00	\$0.00	\$1,563.69	\$1,563.69	\$156.37	\$156.37
	777 00580	JOHN BLATZ	09/07/2005	99	10.00%	\$0.00	\$0.00	\$818.15	\$818.15	\$81.82	\$81.82
	777 00645	MASTER PEN PLAN FOR HOURLY	11/22/2005	99	10.00%	\$0.00	\$0.00	\$637.23	\$637.23	\$63.72	\$63.72
	777 00646	MASTER PEN PLAN FOR SALARIED	11/22/2005	99	10.00%	\$0.00	\$0.00	\$1,290.37	\$1,290.37	\$129.04	\$129.04
	777 01184	JEFFREY T CAMMANS	10/10/2006	99	10.00%	\$0.00	\$0.00	\$745.34	\$745.34	\$74.53	\$74.53
	777 20015	F/B/O STEPHEN P CHERNOCK JR	02/05/2004	99	10.00%	\$0.00	\$0.00	\$522.85	\$522.85	\$52.29	\$52.29
	777 20016	PAUL M GAGLIARDI	02/10/2004	99	10.00%	\$0.00	\$0.00	\$800.84	\$800.84	\$80.08	\$80.08

Compensation Report

NEUBERGER BERMAN

Month End : Dec-2007

Market Name	Reg Customer Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
ICCIANNI, CHARLES										
390 KSE GROUP/NY										
777 53486	FRANK R MATTHEWS	10/02/2003	99	10.00%	\$0.00	\$0.00	\$557.59	\$557.59	\$55.76	\$55.76
Reg Rep Total:					\$0.00	\$0.00	\$10,077.35	\$10,077.35	\$1,007.74	\$1,007.74
881 M KAMINSKY /NY										
521 01163	F/B/O EDDIE SITT	08/29/2005	99	10.00%	\$0.00	\$0.00	\$674.95	\$674.95	\$67.50	\$67.50
Reg Rep Total:					\$0.00	\$0.00	\$674.95	\$674.95	\$67.50	\$67.50
934 F.I. BOND MARKET										
777 01665	WHITNEY GENL RESERVE FIXED	07/01/1999	99	5.00%	\$0.00	\$0.00	(\$510.93)	(\$510.93)	(\$25.55)	(\$25.55)
777 01665	WHITNEY GENL RESERVE FIXED	07/01/1999	99	5.00%	\$0.00	\$0.00	\$510.93	\$510.93	\$25.55	\$25.55
777 01671	WHITNEY OPERATING FIXED	07/01/1999	99	5.00%	\$0.00	\$0.00	(\$85.25)	(\$85.25)	(\$4.26)	(\$4.26)
777 01671	WHITNEY OPERATING FIXED	07/01/1999	99	5.00%	\$0.00	\$0.00	\$85.25	\$85.25	\$4.26	\$4.26
Reg Rep Total:					\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
A07 ROBERT J. APPEL-NAT										
777 01662	WHITNEY GENL RES LARGE CAP	07/01/1999	99	5.00%	\$0.00	\$0.00	(\$1,359.62)	(\$1,359.62)	(\$67.98)	(\$67.98)
777 01662	WHITNEY GENL RES LARGE CAP	07/01/1999	99	5.00%	\$0.00	\$0.00	\$1,359.62	\$1,359.62	\$67.98	\$67.98
777 01664	WHITNEY GENL RES (MID CAP)	07/01/1999	99	5.00%	\$0.00	\$0.00	(\$477.10)	(\$477.10)	(\$23.86)	(\$23.86)
777 01664	WHITNEY GENL RES (MID CAP)	07/01/1999	99	5.00%	\$0.00	\$0.00	\$477.10	\$477.10	\$23.86	\$23.86
777 01668	WHITNEY OPERATING LARGE CAP	07/01/1999	99	5.00%	\$0.00	\$0.00	(\$245.64)	(\$245.64)	(\$12.28)	(\$12.28)
777 01668	WHITNEY OPERATING LARGE CAP	07/01/1999	99	5.00%	\$0.00	\$0.00	\$245.64	\$245.64	\$12.28	\$12.28
777 01670	WHITNEY OPERATING (MID CAP)	07/01/1999	99	5.00%	\$0.00	\$0.00	(\$81.01)	(\$81.01)	(\$4.05)	(\$4.05)
777 01670	WHITNEY OPERATING (MID CAP)	07/01/1999	99	5.00%	\$0.00	\$0.00	\$81.01	\$81.01	\$4.05	\$4.05
Reg Rep Total:					\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Compensation Report

NEUBERGER BERMAN

Month End : Dec-2007

Market Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
ICCIANNI, CHARLES											
A12 JEFFREY BOLTON											
	550 02168	WMBRH-KENNEDY DUNCAN FUND	06/23/2006	99	10.00%	\$0.00	\$0.00	\$919.88	\$919.88	\$91.99	\$91.99
	550 02175	WMBRH-STURGIS FUND	06/23/2006	99	10.00%	\$0.00	\$0.00	\$545.85	\$545.85	\$54.59	\$54.59
	550 02176	WMBRH-HOME HEALTH EDUCATIO	06/23/2006	99	10.00%	\$0.00	\$0.00	\$176.75	\$176.75	\$17.68	\$17.68
	Reg Rep Total:					\$0.00	\$0.00	\$1,642.48	\$1,642.48	\$164.26	\$164.26
B73 INTERNATIONAL EQUITY / NEW YORK											
	777 00378	CITY OF BRISTOL GENERAL	01/19/2005	99	10.00%	\$0.00	\$0.00	\$17,804.71	\$17,804.71	\$1,780.47	\$1,780.47
	777 00683	MILFORD HOSPITAL PENSION PLAN	01/25/2006	99	10.00%	\$0.00	\$0.00	\$5,517.53	\$5,517.53	\$551.75	\$551.75
	Reg Rep Total:					\$0.00	\$0.00	\$23,322.24	\$23,322.24	\$2,332.22	\$2,332.22
I93 PAMAS MUTUAL FUNDS / NEW YORK											
	777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	(\$982.53)	(\$982.53)	(\$98.25)	(\$98.25)
	777 00019	WHITNEY CENTER INC ESCROW	07/01/2004	99	10.00%	\$0.00	\$0.00	\$1,473.80	\$1,473.80	\$147.38	\$147.38
	777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	(\$5,553.91)	(\$5,553.91)	(\$555.39)	(\$555.39)
	777 00212	WHITNEY CENTER INVESTMENT	07/01/2004	99	10.00%	\$0.00	\$0.00	\$8,330.87	\$8,330.87	\$833.09	\$833.09
	Reg Rep Total:					\$0.00	\$0.00	\$3,268.23	\$3,268.23	\$326.83	\$326.83
W30 FIXED INCOME/DICCIANNI											
	777 00569	ST LABRE RESTRICTED FUND FIXED	06/08/1932	99	5.00%	\$0.00	\$0.00	\$520.04	\$520.04	\$26.00	\$26.00
	777 00673	PARISH CEMETERY FIELD EMPLOY	06/08/1932	99	5.00%	\$0.00	\$0.00	\$345.81	\$345.81	\$17.29	\$17.29
	777 01298	AMERICAN COLLEGE OF PHYSICIAN	10/01/1996	99	5.00%	\$0.00	\$0.00	\$86.32	\$86.32	\$4.32	\$4.32
	777 01665	WHITNEY GENL RESERVE FIXED	07/01/1999	99	5.00%	\$0.00	\$0.00	(\$2,554.63)	(\$2,554.63)	(\$127.73)	(\$127.73)
	777 01665	WHITNEY GENL RESERVE FIXED	07/01/1999	99	5.00%	\$0.00	\$0.00	\$4,087.41	\$4,087.41	\$204.37	\$204.37
	777 01671	WHITNEY OPERATING FIXED	07/01/1999	99	5.00%	\$0.00	\$0.00	(\$426.28)	(\$426.28)	(\$21.31)	(\$21.31)
	777 01671	WHITNEY OPERATING FIXED	07/01/1999	99	5.00%	\$0.00	\$0.00	\$682.06	\$682.06	\$34.10	\$34.10

Compensation Report

NEUBERGER BERMAN

Month End : Dec-2007

Marketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
ICCIANNI, CHARLES											
W30 FIXED INCOME/DICCIANNI											
777 01853		ST LABRE ANNUITY RESERVE	10/01/2000	99	5.00%	\$0.00	\$0.00	\$1,012.44	\$1,012.44	\$50.62	\$50.62
777 01854		ST LABRE CALIFORNIA ANNUITY	10/01/2000	99	5.00%	\$0.00	\$0.00	\$179.32	\$179.32	\$8.97	\$8.97
777 01968		CITY OF BRISTOL - DOMESTIC	06/08/1932	99	5.00%	\$0.00	\$0.00	\$13,147.31	\$13,147.31	\$657.37	\$657.37
777 01969		CITY OF BRISTOL - HIGH YIELD	06/08/1932	99	5.00%	\$0.00	\$0.00	\$541.28	\$541.28	\$27.06	\$27.06
777 01970		CITY OF BRISTOL-INTERNATIONAL	06/08/1932	99	5.00%	\$0.00	\$0.00	\$1,196.75	\$1,196.75	\$59.84	\$59.84
Reg Rep Total:						\$0.00	\$0.00	\$18,817.83	\$18,817.83	\$940.90	\$940.90
W36 APPEL/DICCIANNI											
555 02151		MILFORD HOSPITAL INC	09/07/2001	99	10.00%	\$0.00	\$0.00	\$4,753.78	\$4,753.78	\$475.38	\$475.38
555 02392		AMERICAN COLLEGE OF PHYSICIAN	01/20/1995	99	5.00%	\$0.00	\$0.00	\$8,996.41	\$8,996.41	\$449.82	\$449.82
555 02404		BABY TOGS INC DEFERRED	06/08/1932	99	5.00%	\$0.00	\$0.00	\$5,728.57	\$5,728.57	\$286.43	\$286.43
555 02406		BABY TOGS SPECIAL DEFINED	06/08/1932	99	5.00%	\$0.00	\$0.00	\$2,822.35	\$2,822.35	\$141.12	\$141.12
555 32655		AMERICAN COLLEGE OF PHYSICIAN	09/01/1996	99	5.00%	\$0.00	\$0.00	\$14,983.94	\$14,983.94	\$749.20	\$749.20
555 32657		AMERICAN COLLEGE OF PHYSICIAN	10/01/1996	99	5.00%	\$0.00	\$0.00	\$446.91	\$446.91	\$22.35	\$22.35
777 00012		MILFORD PENSION TRUST	06/08/1932	99	5.00%	\$0.00	\$0.00	\$33,380.10	\$33,380.10	\$1,669.01	\$1,669.01
777 00062		CITY OF BRISTOL POLICE & FIRE	06/08/1932	99	5.00%	\$0.00	\$0.00	\$49,602.25	\$49,602.25	\$2,480.11	\$2,480.11
777 00073		CITY OF MERIDEN POLICE &	06/08/1932	99	5.00%	\$0.00	\$0.00	\$5,928.83	\$5,928.83	\$296.44	\$296.44
777 00082		CHESHIRE RET EQUITY	06/08/1932	99	5.00%	\$0.00	\$0.00	\$6,343.35	\$6,343.35	\$317.17	\$317.17
777 00734		CITY OF MERIDEN EMPLOYEES	11/01/1994	99	5.00%	\$0.00	\$0.00	\$10,291.97	\$10,291.97	\$514.60	\$514.60
777 01223		STAMFORD FIREMEN'S MID CAP	03/01/1996	99	5.00%	\$0.00	\$0.00	\$4,439.27	\$4,439.27	\$221.96	\$221.96
777 01224		STAMFORD FIREMEN'S LARGE CAP	03/01/1996	99	5.00%	\$0.00	\$0.00	\$8,840.28	\$8,840.28	\$442.01	\$442.01
777 01662		WHITNEY GENL RES LARGE CAP	07/01/1999	99	5.00%	\$0.00	\$0.00	(\$6,798.12)	(\$6,798.12)	(\$339.91)	(\$339.91)
777 01662		WHITNEY GENL RES LARGE CAP	07/01/1999	99	5.00%	\$0.00	\$0.00	\$10,876.99	\$10,876.99	\$543.85	\$543.85
777 01664		WHITNEY GENL RES (MID CAP)	07/01/1999	99	5.00%	\$0.00	\$0.00	(\$2,385.49)	(\$2,385.49)	(\$119.27)	(\$119.27)

Compensation Report**NEUBERGER BERMAN****Month End : Dec-2007**

Market Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
ICCIANNI, CHARLES											
W36 APPEL/DICCIANNI											
	777 01664	WHITNEY GENL RES (MID CAP)	07/01/1999	99	5.00%	\$0.00	\$0.00	\$3,816.78	\$3,816.78	\$190.84	\$190.84
	777 01668	WHITNEY OPERATING LARGE CAP	07/01/1999	99	5.00%	\$0.00	\$0.00	(\$1,228.18)	(\$1,228.18)	(\$61.41)	(\$61.41)
	777 01668	WHITNEY OPERATING LARGE CAP	07/01/1999	99	5.00%	\$0.00	\$0.00	\$1,965.09	\$1,965.09	\$98.25	\$98.25
	777 01670	WHITNEY OPERATING (MID CAP)	07/01/1999	99	5.00%	\$0.00	\$0.00	(\$405.06)	(\$405.06)	(\$20.25)	(\$20.25)
	777 01670	WHITNEY OPERATING (MID CAP)	07/01/1999	99	5.00%	\$0.00	\$0.00	\$648.10	\$648.10	\$32.41	\$32.41
Reg Rep Total:						\$0.00	\$0.00	\$163,048.12	\$163,048.12	\$8,390.11	\$8,390.11
W38 APPEL #2/DICCIANNI											
	555 02148	MILFORD HOSPITAL INC	09/07/2001	99	5.00%	\$0.00	\$0.00	\$7,503.87	\$7,503.87	\$375.19	\$375.19
	777 02020	MILFORD HOSPITAL FIXED	09/07/2001	99	5.00%	\$0.00	\$0.00	\$2,991.08	\$2,991.08	\$149.55	\$149.55
Reg Rep Total:						\$0.00	\$0.00	\$10,494.95	\$10,494.95	\$524.74	\$524.74
W39 KAMINSKY/DICCIANNI											
	555 02387	MUELLER BRASS CO RETIREMENT	06/08/1932	99	5.00%	\$0.00	\$0.00	\$40,204.43	\$40,204.43	\$2,010.22	\$2,010.22
	555 02405	BABY TOGG INC DEFERRED	06/08/1932	99	5.00%	\$0.00	\$0.00	\$5,810.55	\$5,810.55	\$290.53	\$290.53
	555 30007	BABY TOGS SPECIAL DEFINED	06/08/1932	99	5.00%	\$0.00	\$0.00	\$3,299.86	\$3,299.86	\$164.99	\$164.99
Reg Rep Total:						\$0.00	\$0.00	\$49,314.84	\$49,314.84	\$2,465.74	\$2,465.74
W40 BOLTON/DICCIANNI											
	555 26700	BURKE REHABILITATION HOSPITAL	06/08/1932	99	5.00%	\$0.00	\$0.00	\$4,771.29	\$4,771.29	\$238.56	\$238.56
	555 26701	BURKE REHABILITATION CENTER	06/08/1932	99	5.00%	\$0.00	\$0.00	\$8,037.71	\$8,037.71	\$401.89	\$401.89
	555 26703	WINIFRED MASTERSON BURKE FDI	06/08/1932	99	5.00%	\$0.00	\$0.00	\$7,959.53	\$7,959.53	\$397.98	\$397.98
	555 26705	PARISH CEMETERY FIELD EMPLOY	06/08/1932	99	5.00%	\$0.00	\$0.00	\$2,074.73	\$2,074.73	\$103.74	\$103.74
Reg Rep Total:						\$0.00	\$0.00	\$22,843.26	\$22,843.26	\$1,142.17	\$1,142.17

Compensation Report

A12

NEUBERGER BERMAN

Month End : Dec-2007

arketer Name	Reg Customer Rep Account	Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
W41 NEWMAN/DICCIANNI											
	555 02401	FOLEY INC EMPLOYEES PEN PLAN	06/08/1932	99	5.00%	\$0.00	\$0.00	\$8,763.57	\$8,763.57	\$438.18	\$438.18
					Reg Rep Total:	\$0.00	\$0.00	\$8,763.57	\$8,763.57	\$438.18	\$438.18
W43 SRI/DICCIANNI											
	555 02182	ST LABRE RESTRICTED FUND	06/08/1932	99	5.00%	\$0.00	\$0.00	\$9,875.21	\$9,875.21	\$493.76	\$493.76
					Reg Rep Total:	\$0.00	\$0.00	\$9,875.21	\$9,875.21	\$493.76	\$493.76
W45 SCHWARTZ/DICCIANNI											
	555 02382	WHX PENSION PLAN (MCS)	04/01/1999	99	5.00%	\$0.00	\$0.00	\$79,720.47	\$79,720.47	\$3,986.02	\$3,986.02
					Reg Rep Total:	\$0.00	\$0.00	\$79,720.47	\$79,720.47	\$3,986.02	\$3,986.02
					Marketer Total:	\$0.00	\$0.00	\$560,934.26	\$560,934.26	\$45,892.72	\$45,892.72